

STAFF REPORT

SUBJECT: Approval of Resolutions Authorizing Staff to Apply for TDA, STA, and RM2 funds for Fiscal Year 2022-2023.

FROM: Tamara Edwards, Director of Finance

DATE: April 26, 2022

---

**Action Requested**

Review and recommend to the Board of Directors approval of the following resolutions:

1. Resolution 17-2022 of the Board of Directors of the Livermore Amador Valley Transit Authority authorizing the filing of a claim with the Metropolitan Transportation Commission (MTC) for Allocation of Transportation Development Act (TDA) Article 4.0, State Transit Assistance (STA), and Regional Measure 2 (RM 2) for Fiscal Year 2022-2023
2. Resolution 18-2022 of the Board of Directors of the Livermore Amador Valley Transit Authority Authorizing the filing of a claim with the Metropolitan Transportation Commission for Allocation of Transportation Development Act Article 4.5 Funds for the Fiscal Year 2022-2023

These resolutions authorize staff to file applications with the MTC for the 2022-2023 Fiscal Year.

**Background**

Attached for your review and approval are the annual resolutions authorizing LAVTA's Executive Director (or designee) to file a claim with MTC for TDA Article 4.0, 4.5, and STA funds for Fiscal Year 2022-2023. These resolutions are required as part of MTC's annual claim submittal. The funds requested in the claim are limited to the amount allocated to LAVTA, based on a prescribed apportionment formula. On an annual basis, LAVTA is required to resolve support for the submission of applications for Regional Measure 2 operating assistance in connection with the providing service to the BRT service. MTC has advised LAVTA that the inclusion of provisions relevant to RM 2 can be included in the TDA and STA resolutions for ease of submission.

**Discussion**

Attached to this report is the MTC staff report which accompanied their estimate and gives background information on the various funding sources and the bases for this year's estimates:

**Budget Considerations**

The next step will be to prepare a detailed capital and operating budget within the available revenues.

**Recommendation**

Staff recommends that the Finance and Administration Committee recommend that the Board of Directors approve the attached resolutions authorizing the filing of a claim with MTC for Allocation of TDA Article 4.0, 4.5, STA, and RM2 Funds for Fiscal Year 2022-2023.

**Attachments:**

1. February 23, 2022 Fund Estimate from MTC
2. Resolution 17-2022 MTC for Allocation of Transportation Development Act Article 4.0
3. Resolution 18-2022 MTC for Allocation of Transportation Development Act Article 4.5

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

February 9, 2022

Agenda Item 3b - 22-0063

**MTC Resolutions Nos. 4321, Revised and 4504. FY 2022-23 Fund Estimate and American Rescue Plan Funding Exchange to support implementation of the Blue Ribbon Transit Transformation Action Plan**

---

**Subject:**

Annual Fund Estimate and proposed apportionment and distribution of \$967 million in Transportation Development Act (TDA) Local Transportation Fund, State Transit Assistance (STA), State of Good Repair (SGR) Program, Assembly Bill 1107 (AB 1107), transit-related bridge toll, and Low Carbon Transit Operations Program (LCTOP) funds for FY 2022-23. The Fund Estimate will also implement the exchange of STA and American Rescue Plan (ARP) funds endorsed by the Commission in October 2021 for the purpose of supporting Blue Ribbon Transit Transformation Action Plan initiatives.

**Background:**

MTC is required by state statute to prepare and adopt an annual fund estimate of TDA Local Transportation Fund (LTF) ¼ cent sales tax revenues for the upcoming fiscal year by March 1st. This estimate assists the Bay Area's transit operators in budgeting for the next fiscal year, in this case FY 2022-23. The fund estimate prepared by MTC also includes a number of other fund sources which MTC allocates to transit operators, primarily for operations.

**Economic Overview**

The Bay Area economy, like local economies worldwide, has been significantly impacted by the COVID-19 pandemic. Unemployment rates remain above pre-pandemic levels across all nine counties but have improved since the onset of the pandemic. Taxable sales, which declined in FY 2020-21 relative to original projections, have improved in FY 2021-22. Significant uncertainty remains about possible shifts in population, work from home policies, and commute patterns – all of which could impact revenues. Accordingly, it is prudent for transit operators to continue to budget with great caution.

**Transportation Development Act (TDA)**

State law requires county auditors to submit annual estimates of the ¼-cent TDA sales tax revenue generation to MTC by February 1st. A summary of the county auditors' mid-year

estimates indicate that regional TDA revenue generation is expected to improve by 12% in the current year of FY 2021-22 to \$470 million, with a subsequent increase of 2.9% in FY 2022-23 to \$483 million.

There remains some uncertainty about the attribution of sales taxes for non-retail (online) sales in California. In October 2021, the California Department of Tax and Fee Administration (CDTFA) issued a notice to Santa Clara County that an audit uncovered an erroneous attribution of sales on eBay as sales taxes to Santa Clara County instead of a use tax to point of delivery jurisdictions. An appeal has been filed by the City of San Jose, and a negative ruling would result in a reduction of TDA sales tax revenues in Santa Clara County going forward and a rescission of some already allocated funds dating back to October 2019. The CDTFA is also conducting audits of other major online retailers and it is possible that additional situations similar to the eBay case could be found which may impact other Bay Area jurisdictions.

**Assembly Bill 1107 (AB 1107)**

A portion (25%) of BART's half-cent sales tax revenue generated in Alameda, Contra Costa, and San Francisco counties is subject to allocation by MTC, and MTC staff is responsible for estimating the annual revenue generation. Based on actual performance to date along with sales tax projections from county auditor offices, staff proposes to revise the current FY 2021-22 estimate upwards to \$98 million and to forecast FY 2022-23 revenues of \$100 million (16% increase from actual FY 2020-21 revenues of \$86 million). This amount would be split evenly between SFMTA and AC Transit per longstanding Commission policy.

**State Transit Assistance (STA)**

Governor Newsom's proposed FY 2022-23 State Budget estimates \$735 million in STA funds statewide in FY 2022-23. Based on this estimate, the Bay Area would receive approximately \$268 million (\$197 million in Revenue-Based and \$72 million in Population-Based) in FY 2022-23 STA funds. Staff will return to the Commission to update the estimates following the state budget approval later this year.

### **State of Good Repair (SGR) Program**

Senate Bill (SB) 1 established the State of Good Repair (SGR) Program which will bring \$44 million to the Bay Area in FY 2022-23 for transit capital state of good repair projects. The funds from the SGR Program follow the same state-wide distribution policies as the regular STA program, with a Revenue-Based and Population-Based program.

### **Bridge Tolls**

In April 2010, MTC Resolution No. 3948 resulted in a lump sum payment from BATA to MTC for an amount equal to the 50-year present value of AB 664, RM 1, and 2% Toll revenue. Future payments from these toll revenues will be made from this lump sum, in accordance with Commission policies established in MTC Resolution Nos. 4015 and 4022.

### **Cap and Trade – Low Carbon Transit Operations Program**

The FY 2022-23 Fund Estimate includes details on funding that will flow to the region through the Low Carbon Transit Operations Program, which is a component of the state Cap and Trade program. In FY 2022-23, the region is projected to receive \$66 million from the program based on an estimate from Governor Newsom's proposed FY 2022-23 State Budget. Apportionments of these funds are guided by Caltrans policies for the Revenue-Based program (which are the same as the STA Revenue-Based program) and by the MTC Commission for the Population-Based program through the MTC Cap and Trade Framework (MTC Resolution No. 4130, Revised).

### **American Rescue Plan Funding Exchange**

In the July 2021 the MTC Commission set aside \$85 million of American Rescue Plan (ARP) funds for Blue Ribbon Transit Transformation Action Plan activities. In October 2021, these funds were instead allocated directly to operators through MTC Resolution 4481 to preserve operator eligibility to compete for Federal Transit Administration Additional Assistance Funds. The resolution directed staff to identify fund sources for a funding exchange. The FY 2022-23 Fund Estimate implements part of this fund exchange with STA Population-Based and STA Revenue-Based funds. MTC Resolution 4321 is proposed to be amended to suspend the STA County Block Grant program for one year only, FY 2022-23. The 70% of STA Population-Based funds that would typically be allocated through the STA County Block Grant program will

instead be programmed directly to operators (as noted on page 13 of Attachment A to Resolution 4504), with the first dollars applied to satisfy the ARP funding exchange obligations. Each county share is not adversely affected by the funding exchange. STA Revenue-Based funds will be programmed to operators as usual, and funding agreements will facilitate additional exchange obligations. Attachment 2 details the ARP Exchange amounts by operator.

**Issues:**

BART Feeder Bus Agreement – A 1997 agreement between BART and four East Bay bus operators (County Connection, LAVTA, Tri-Delta, and WestCAT) established a funding mechanism for BART to support feeder bus operators using BART’s STA Revenue-Based and TDA sales tax funds. Initial payment amounts were established by transition agreements, and subsequent payments over the last 25 years have been calculated based on changes to AB 1107 ½-cent sales tax revenues. BART had communicated an interest to amend the agreement before the pandemic and has recently expressed greater urgency given its looming fiscal cliff. Although payment for feeder service was assumed in the calculation of financial need through FY 2022-23 that informed the distribution of federal COVID relief funding, MTC recognizes the need to update the feeder service agreements that govern these payments. Discussions are on-going between MTC and the relevant agencies on this matter. To ensure a timely re-set of the feeder service agreements, MTC will only allocate up to 50% of the feeder bus payments programmed for FY 2022-23 until such time that the agreements are updated, or at a minimum, satisfactory progress has been made toward that goal. An update on progress will be provided this summer at the time of the next Fund Estimate revision.

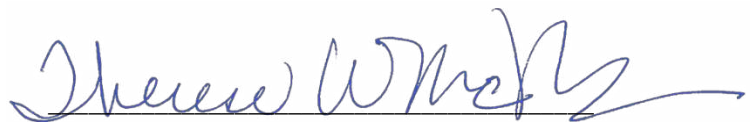
**Recommendations:**

Refer MTC Resolutions Nos. 4321, Revised and 4504 to the Commission for approval.

**Attachments:**

Attachment 1: Presentation slides

Attachment 2: ARP-STA Exchange Details



Therese W. McMillan

Date: February 23, 2022  
W.I.: 1511  
Referred by: PAC

ABSTRACT

MTC Resolution No. 4504

This resolution approves the FY 2022-23 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), State of Good Repair (SGR) Program, Assembly Bill (AB) 1107 sales tax, Low Carbon Transit Operations (LCTOP) cap-and-trade auction revenues, and transit-related bridge toll funds.

Further discussion of this action is contained in the MTC Programming and Allocations Summary Sheet dated February 9, 2022.

Date: February 23, 2022  
W.I.: 1511  
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2022-23

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4504

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2021-22 and FY 2022-23 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2022-23 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating/capital assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, State of Good Repair (SGR) Program pursuant to Public Utilities Code § 99312.1, Low Carbon Transit Operations Program (LCTOP) pursuant to Health and Safety Code § 39719(b)(1)(B), the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and



WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2022-23 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2022-23 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION

---

Alfredo Pedroza, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations, on February 23, 2022.

**FY 2022-23 FUND ESTIMATE  
REGIONAL SUMMARY**

Attachment A  
Res No. 4504  
Page 1 of 20  
2/23/2022

**TDA REGIONAL SUMMARY TABLE**

| Column                      | A                    | B                                                         | C                    | D                   | E                                | F                    | G                        | H=Sum(A:G)               |
|-----------------------------|----------------------|-----------------------------------------------------------|----------------------|---------------------|----------------------------------|----------------------|--------------------------|--------------------------|
|                             | 6/30/2021            | FY2020-22                                                 | FY2021-22            | FY2021-22           | FY2021-22                        | FY2022-23            | FY2022-23                | FY2022-23                |
| Apportionment Jurisdictions | Balance <sup>1</sup> | Outstanding Commitments, Refunds, & Interest <sup>2</sup> | Original Estimate    | Revenue Adjustment  | Revised Admin. & Planning Charge | Revenue Estimate     | Admin. & Planning Charge | Available for Allocation |
| Alameda                     | 24,803,191           | (79,710,755)                                              | 84,846,744           | 15,920,543          | (4,030,691)                      | 101,774,961          | (4,070,999)              | 139,532,994              |
| Contra Costa                | 34,461,353           | (59,471,021)                                              | 45,908,428           | 9,354,916           | (2,210,534)                      | 58,468,618           | (2,338,745)              | 84,173,015               |
| Marin                       | 2,923,423            | (14,454,328)                                              | 12,017,498           | 4,103,338           | (644,833)                        | 16,523,000           | (660,920)                | 19,807,177               |
| Napa                        | 7,734,546            | (12,572,975)                                              | 8,979,207            | 1,123,374           | (404,103)                        | 10,405,658           | (416,226)                | 14,849,482               |
| San Francisco               | 1,487,917            | (43,506,561)                                              | 44,562,500           | (840,000)           | (1,748,900)                      | 45,952,500           | (1,838,101)              | 44,069,354               |
| San Mateo                   | 4,496,469            | (39,097,488)                                              | 42,857,457           | 9,258,515           | (2,084,639)                      | 52,172,265           | (2,086,890)              | 65,515,689               |
| Santa Clara                 | 7,630,267            | (130,143,494)                                             | 130,850,000          | 5,042,343           | (5,435,694)                      | 140,649,000          | (5,625,960)              | 142,966,462              |
| Solano                      | 37,790,606           | (16,198,611)                                              | 22,483,483           | 3,043,926           | (1,021,096)                      | 25,527,409           | (1,021,096)              | 70,604,620               |
| Sonoma                      | 23,582,197           | (28,476,418)                                              | 26,600,000           | 3,900,000           | (1,220,000)                      | 32,025,000           | (1,281,000)              | 55,129,780               |
| <b>TOTAL</b>                | <b>\$144,909,969</b> | <b>(\$423,631,651)</b>                                    | <b>\$419,105,317</b> | <b>\$50,906,955</b> | <b>(\$18,800,490)</b>            | <b>\$483,498,410</b> | <b>(\$19,339,937)</b>    | <b>\$636,648,572</b>     |

**STA, AB 1107, BRIDGE TOLL, LOW CARBON TRANSIT OPERATIONS PROGRAM, & SGR PROGRAM REGIONAL SUMMARY TABLE**

| Column                                        | A                                  | B                                    | C                    | D                    | E=Sum(A:D)               |
|-----------------------------------------------|------------------------------------|--------------------------------------|----------------------|----------------------|--------------------------|
|                                               | 6/30/2021                          | FY2020-22                            | FY2021-22            | FY2022-23            | FY2022-23                |
| Fund Source                                   | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Revenue Estimate     | Revenue Estimate     | Available for Allocation |
| <b>State Transit Assistance</b>               |                                    |                                      |                      |                      |                          |
| Revenue-Based                                 | 31,040,545                         | (133,857,886)                        | 179,286,505          | 196,846,972          | 273,316,134              |
| Population-Based                              | 69,456,022                         | (61,086,399)                         | 65,303,438           | 71,699,675           | 145,372,737              |
| <b>SUBTOTAL</b>                               | <b>100,496,567</b>                 | <b>(194,944,285)</b>                 | <b>244,589,943</b>   | <b>268,546,647</b>   | <b>418,688,871</b>       |
| <b>AB1107 - BART District Tax (25% Share)</b> | <b>0</b>                           | <b>(98,000,000)</b>                  | <b>98,000,000</b>    | <b>100,000,000</b>   | <b>100,000,000</b>       |
| <b>Bridge Toll Total</b>                      |                                    |                                      |                      |                      |                          |
| MTC 2% Toll Revenue                           | 8,458,867                          | (4,137,805)                          | 1,700,000            | 1,450,000            | 7,471,062                |
| 5% State General Fund Revenue                 | 18,039,971                         | (281,706)                            | 3,408,427            | 3,729,880            | 24,896,572               |
| <b>SUBTOTAL</b>                               | <b>26,498,838</b>                  | <b>(4,419,511)</b>                   | <b>5,108,427</b>     | <b>5,179,880</b>     | <b>32,367,634</b>        |
| <b>Low Carbon Transit Operations Program</b>  | <b>0</b>                           | <b>0</b>                             | <b>59,629,152</b>    | <b>66,605,301</b>    | <b>126,234,453</b>       |
| <b>State of Good Repair Program</b>           |                                    |                                      |                      |                      |                          |
| Revenue-Based                                 | 4                                  | (31,477,988)                         | 31,477,988           | 32,422,154           | 32,422,156               |
| Population-Based                              | 18,692,026                         | (30,100,865)                         | 11,465,566           | 11,809,467           | 11,866,194               |
| <b>SUBTOTAL</b>                               | <b>18,692,030</b>                  | <b>(61,578,853)</b>                  | <b>42,943,554</b>    | <b>44,231,622</b>    | <b>44,288,350</b>        |
| <b>TOTAL</b>                                  | <b>\$145,687,435</b>               | <b>(\$358,942,649)</b>               | <b>\$450,271,076</b> | <b>\$484,563,450</b> | <b>\$721,579,308</b>     |

Please see Attachment A pages 2-20 for detailed information on each fund source.

1. Balance as of 6/30/21 is from the MTC FY2020-22 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
ALAMEDA COUNTY**

Attachment A  
Res No. 4504  
Page 2 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |             |            | FY2022-23 TDA Revenue Estimate                        |           |             |
|-----------------------------------------------------------------|-------------|------------|-------------------------------------------------------|-----------|-------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |             |            | <b>FY2022-23 County Auditor's Generation Estimate</b> |           |             |
| 1. Original County Auditor Estimate (Feb, 21)                   | 84,846,744  |            | 13. County Auditor Estimate                           |           | 101,774,961 |
| 2. Revised Revenue (Feb, 21)                                    | 100,767,287 |            | <b>FY2022-23 Planning and Administration Charges</b>  |           |             |
| 3. Revenue Adjustment (Lines 2-1)                               |             | 15,920,543 | 14. MTC Administration (0.5% of Line 13)              | 508,875   |             |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |             |            | 15. County Administration (0.5% of Line 13)           | 508,875   |             |
| 4. MTC Administration (0.5% of Line 3)                          | 79,603      |            | 16. MTC Planning (3.0% of Line 13)                    | 3,053,249 |             |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 79,603      |            | 17. Total Charges (Lines 14+15+16)                    |           | 4,070,999   |
| 6. MTC Planning (3.0% of Line 3)                                | 477,616     |            | 18. TDA Generations Less Charges (Lines 13-17)        |           | 97,703,962  |
| 7. Total Charges (Lines 4+5+6)                                  |             | 636,822    | <b>FY2022-23 TDA Apportionment By Article</b>         |           |             |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |             | 15,283,721 | 19. Article 3.0 (2.0% of Line 18)                     | 1,954,079 |             |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |             |            | 20. Funds Remaining (Lines 18-19)                     |           | 95,749,883  |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 305,674     |            | 21. Article 4.5 (5.0% of Line 20)                     | 4,787,494 |             |
| 10. Funds Remaining (Lines 8-9)                                 |             | 14,978,047 | 22. TDA Article 4 (Lines 20-21)                       |           | 90,962,389  |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 748,902     |            |                                                       |           |             |
| 12. Article 4 Adjustment (Lines 10-11)                          |             | 14,229,145 |                                                       |           |             |

**TDA APPORTIONMENT BY JURISDICTION**

| Column                      | A                      | B                | C=Sum(A:B)                         | D                                    | E                   | F                   | G                   | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|-----------------------------|------------------------|------------------|------------------------------------|--------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------------------|
|                             | 6/30/2021              | FY2020-21        | 6/30/2021                          | FY2020-22                            | FY2021-22           | FY2021-22           | FY2021-22           | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions | Balance (w/o interest) | Interest         | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds  | Original Estimate   | Revenue Adjustment  | Projected Carryover | Revenue Estimate    | Available for Allocation |
| <b>Article 3</b>            | 5,213,118              | 59,236           | 5,272,354                          | (5,416,736)                          | 0                   | 1,629,057           | 305,674             | 1,790,349           | 1,954,079           | 3,744,428                |
| <b>Article 4.5</b>          | 805,262                | 4,519            | 809,781                            | (4,584,534)                          | 0                   | 3,991,191           | 748,902             | 965,340             | 4,787,494           | 5,752,834                |
| <b>SUBTOTAL</b>             | <b>6,018,380</b>       | <b>63,755</b>    | <b>6,082,135</b>                   | <b>(10,001,270)</b>                  | <b>0</b>            | <b>5,620,248</b>    | <b>1,054,576</b>    | <b>2,755,689</b>    | <b>6,741,573</b>    | <b>9,497,262</b>         |
| <b>Article 4</b>            |                        |                  |                                    |                                      |                     |                     |                     |                     |                     |                          |
| AC Transit                  |                        |                  |                                    |                                      |                     |                     |                     |                     |                     |                          |
| District 1                  | 581,923                | 27,769           | 609,692                            | (48,597,106)                         | 0                   | 48,597,106          | 9,118,704           | 9,728,397           | 58,247,727          | 67,976,124               |
| District 2                  | 154,384                | 7,370            | 161,754                            | (12,980,480)                         | 0                   | 12,980,480          | 2,435,642           | 2,597,396           | 15,683,052          | 18,280,448               |
| BART <sup>3</sup>           | 16,560                 | 65               | 16,625                             | (104,953)                            | 0                   | 89,475              | 16,789              | 17,937              | 97,096              | 115,033                  |
| LAVTA                       | 7,763,948              | 104,123          | 7,868,071                          | (18,458,315)                         | 10,711,602          | 10,823,468          | 2,030,903           | 12,975,729          | 12,938,264          | 25,913,993               |
| Union City                  | 10,267,996             | 117,077          | 10,385,073                         | (619,234)                            | 18,842              | 3,342,096           | 627,107             | 13,753,884          | 3,996,250           | 17,750,134               |
| <b>SUBTOTAL</b>             | <b>18,784,811</b>      | <b>256,404</b>   | <b>19,041,215</b>                  | <b>(80,760,088)</b>                  | <b>10,730,444</b>   | <b>75,832,626</b>   | <b>14,229,145</b>   | <b>39,073,343</b>   | <b>90,962,389</b>   | <b>130,035,732</b>       |
| <b>GRAND TOTAL</b>          | <b>\$24,803,191</b>    | <b>\$320,160</b> | <b>\$25,123,350</b>                | <b>(\$90,761,358)</b>                | <b>\$10,730,444</b> | <b>\$81,452,874</b> | <b>\$15,283,721</b> | <b>\$41,829,032</b> | <b>\$97,703,962</b> | <b>\$139,532,994</b>     |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

4. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
CONTRA COSTA COUNTY**

Attachment A  
Res No. 4504  
Page 3 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |           |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|-----------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |           |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 45,908,428 |           | 13. County Auditor Estimate                           |           | 58,468,618 |
| 2. Revised Revenue (Feb, 21)                                    | 55,263,344 |           | <b>FY2022-23 Planning and Administration Charges</b>  |           |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | 9,354,916 | 14. MTC Administration (0.5% of Line 13)              | 292,343   |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 292,343   |            |
| 4. MTC Administration (0.5% of Line 3)                          | 46,775     |           | 16. MTC Planning (3.0% of Line 13)                    | 1,754,059 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 46,775     |           | 17. Total Charges (Lines 14+15+16)                    |           | 2,338,745  |
| 6. MTC Planning (3.0% of Line 3)                                | 280,647    |           | 18. TDA Generations Less Charges (Lines 13-17)        |           | 56,129,873 |
| 7. Total Charges (Lines 4+5+6)                                  |            | 374,197   | <b>FY2022-23 TDA Apportionment By Article</b>         |           |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | 8,980,719 | 19. Article 3.0 (2.0% of Line 18)                     | 1,122,597 |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |           | 55,007,276 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 179,614    |           | 21. Article 4.5 (5.0% of Line 20)                     | 2,750,364 |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | 8,801,105 | 22. TDA Article 4 (Lines 20-21)                       |           | 52,256,912 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 440,055    |           |                                                       |           |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | 8,361,050 |                                                       |           |            |

**TDA APPORTIONMENT BY JURISDICTION**

| Column                      | A                      | B                | C=Sum(A:B)                         | D                                    | E                  | F                   | G                  | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|-----------------------------|------------------------|------------------|------------------------------------|--------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|--------------------------|
|                             | 6/30/2021              | FY2020-21        | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22           | FY2021-22          | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions | Balance (w/o interest) | Interest         | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate   | Revenue Adjustment | Projected Carryover | Revenue Estimate    | Available for Allocation |
| <b>Article 3</b>            | 1,768,996              | 13,503           | 1,782,498                          | (2,465,818)                          | 0                  | 881,442             | 179,614            | 377,736             | 1,122,597           | 1,500,333                |
| <b>Article 4.5</b>          | 798,516                | 1,587            | 800,103                            | (2,912,016)                          | 0                  | 2,159,532           | 440,055            | 487,674             | 2,750,364           | 3,238,038                |
| <b>SUBTOTAL</b>             | <b>2,567,512</b>       | <b>15,090</b>    | <b>2,582,602</b>                   | <b>(5,377,834)</b>                   | <b>0</b>           | <b>3,040,974</b>    | <b>619,669</b>     | <b>865,410</b>      | <b>3,872,961</b>    | <b>4,738,371</b>         |
| <b>Article 4</b>            |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| AC Transit                  |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| District 1                  | 351,997                | 3,145            | 355,142                            | (7,072,554)                          | 0                  | 7,072,554           | 1,441,198          | 1,796,340           | 8,977,874           | 10,774,214               |
| BART <sup>3</sup>           | 89,490                 | 620              | 90,110                             | (362,361)                            | 0                  | 287,090             | 58,501             | 73,340              | 217,708             | 291,048                  |
| CCCTA                       | 21,467,243             | 66,542           | 21,533,786                         | (27,307,465)                         | 0                  | 19,194,326          | 3,911,293          | 17,331,940          | 24,521,140          | 41,853,080               |
| ECCTA                       | 5,785,308              | 31,557           | 5,816,865                          | (16,505,094)                         | 0                  | 12,032,800          | 2,451,964          | 3,796,535           | 15,435,040          | 19,231,575               |
| WCCTA                       | 4,199,803              | 25,968           | 4,225,771                          | (3,953,995)                          | 965,360            | 2,444,348           | 498,093            | 4,179,577           | 3,105,151           | 7,284,728                |
| <b>SUBTOTAL</b>             | <b>31,893,842</b>      | <b>127,832</b>   | <b>32,021,673</b>                  | <b>(55,201,468)</b>                  | <b>965,360</b>     | <b>41,031,117</b>   | <b>8,361,050</b>   | <b>27,177,732</b>   | <b>52,256,912</b>   | <b>79,434,644</b>        |
| <b>GRAND TOTAL</b>          | <b>\$34,461,353</b>    | <b>\$142,921</b> | <b>\$34,604,275</b>                | <b>(\$60,579,303)</b>                | <b>\$965,360</b>   | <b>\$44,072,091</b> | <b>\$8,980,719</b> | <b>\$28,043,142</b> | <b>\$56,129,873</b> | <b>\$84,173,015</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

4. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
MARIN COUNTY**

Attachment A  
Res No. 4504  
Page 4 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |         |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|---------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |         |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 12,017,498 |           | 13. County Auditor Estimate                           |         | 16,523,000 |
| 2. Revised Revenue (Feb, 21)                                    | 16,120,836 |           | <b>FY2022-23 Planning and Administration Charges</b>  |         |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | 4,103,338 | 14. MTC Administration (0.5% of Line 13)              | 82,615  |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 82,615  |            |
| 4. MTC Administration (0.5% of Line 3)                          | 20,517     |           | 16. MTC Planning (3.0% of Line 13)                    | 495,690 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 20,517     |           | 17. Total Charges (Lines 14+15+16)                    |         | 660,920    |
| 6. MTC Planning (3.0% of Line 3)                                | 123,100    |           | 18. TDA Generations Less Charges (Lines 13-17)        |         | 15,862,080 |
| 7. Total Charges (Lines 4+5+6)                                  |            | 164,134   | <b>FY2022-23 TDA Apportionment By Article</b>         |         |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | 3,939,204 | 19. Article 3.0 (2.0% of Line 18)                     | 317,242 |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |         | 15,544,838 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 78,784     |           | 21. Article 4.5 (5.0% of Line 20)                     | 0       |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | 3,860,420 | 22. TDA Article 4 (Lines 20-21)                       |         | 15,544,838 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 0          |           |                                                       |         |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | 3,860,420 |                                                       |         |            |

| TDA APPORTIONMENT BY JURISDICTION |                        |                |                                    |                                      |                    |                     |                    |                     |                     |                          |
|-----------------------------------|------------------------|----------------|------------------------------------|--------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|--------------------------|
| Column                            | A                      | B              | C=Sum(A:B)                         | D                                    | E                  | F                   | G                  | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|                                   | 6/30/2021              | FY2020-21      | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22           | FY2021-22          | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions       | Balance (w/o interest) | Interest       | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate   | Revenue Adjustment | Projected Carryover | Revenue Estimate    | Available for Allocation |
| Article 3                         | 247,994                | (8,755)        | 239,239                            | (478,731)                            | 0                  | 230,736             | 78,784             | 70,028              | 317,242             | 387,270                  |
| Article 4.5                       |                        |                |                                    |                                      |                    |                     |                    |                     |                     |                          |
| <b>SUBTOTAL</b>                   | <b>247,994</b>         | <b>(8,755)</b> | <b>239,239</b>                     | <b>(478,731)</b>                     | <b>0</b>           | <b>230,736</b>      | <b>78,784</b>      | <b>70,028</b>       | <b>317,242</b>      | <b>387,270</b>           |
| Article 4/8                       |                        |                |                                    |                                      |                    |                     |                    |                     |                     |                          |
| GGBHTD                            | 985,374                | 7,799          | 993,173                            | (7,416,263)                          | 0                  | 6,430,889           | 2,195,807          | 2,203,606           | 5,804,443           | 8,008,049                |
| Marin Transit                     | 1,690,054              | 6,849          | 1,696,904                          | (6,565,228)                          | 0                  | 4,875,174           | 1,664,613          | 1,671,463           | 9,740,395           | 11,411,858               |
| <b>SUBTOTAL</b>                   | <b>2,675,428</b>       | <b>14,649</b>  | <b>2,690,077</b>                   | <b>(13,981,491)</b>                  | <b>0</b>           | <b>11,306,063</b>   | <b>3,860,420</b>   | <b>3,875,069</b>    | <b>15,544,838</b>   | <b>19,419,907</b>        |
| <b>GRAND TOTAL</b>                | <b>\$2,923,423</b>     | <b>\$5,894</b> | <b>\$2,929,316</b>                 | <b>(\$14,460,222)</b>                | <b>\$0</b>         | <b>\$11,536,799</b> | <b>\$3,939,204</b> | <b>\$3,945,097</b>  | <b>\$15,862,080</b> | <b>\$19,807,177</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
NAPA COUNTY**

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |         |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|---------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |         |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 8,979,207  |           | 13. County Auditor Estimate                           |         | 10,405,658 |
| 2. Revised Revenue (Feb, 21)                                    | 10,102,581 |           | <b>FY2022-23 Planning and Administration Charges</b>  |         |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | 1,123,374 | 14. MTC Administration (0.5% of Line 13)              | 52,028  |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 52,028  |            |
| 4. MTC Administration (0.5% of Line 3)                          | 5,617      |           | 16. MTC Planning (3.0% of Line 13)                    | 312,170 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 5,617      |           | 17. Total Charges (Lines 14+15+16)                    |         | 416,226    |
| 6. MTC Planning (3.0% of Line 3)                                | 33,701     |           | 18. TDA Generations Less Charges (Lines 13-17)        |         | 9,989,432  |
| 7. Total Charges (Lines 4+5+6)                                  |            | 44,935    | <b>FY2022-23 TDA Apportionment By Article</b>         |         |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | 1,078,439 | 19. Article 3.0 (2.0% of Line 18)                     | 199,789 |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |         | 9,789,643  |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 21,569     |           | 21. Article 4.5 (5.0% of Line 20)                     | 489,482 |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | 1,056,870 | 22. TDA Article 4 (Lines 20-21)                       |         | 9,300,161  |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 52,844     |           |                                                       |         |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | 1,004,026 |                                                       |         |            |

**TDA APPORTIONMENT BY JURISDICTION**

| Column                      | A                      | B               | C=Sum(A:B)                         | D                                    | E                  | F                  | G                  | H=Sum(C:G)          | I                  | J=Sum(H:I)               |
|-----------------------------|------------------------|-----------------|------------------------------------|--------------------------------------|--------------------|--------------------|--------------------|---------------------|--------------------|--------------------------|
|                             | 6/30/2021              | FY2020-21       | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22          | FY2021-22          | 6/30/2022           | FY2022-23          | FY2022-23                |
| Apportionment Jurisdictions | Balance (w/o interest) | Interest        | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate  | Revenue Adjustment | Projected Carryover | Revenue Estimate   | Available for Allocation |
| Article 3                   | 225,982                | 3,028           | 229,011                            | (398,382)                            | 0                  | 172,401            | 21,569             | 24,599              | 199,789            | 224,388                  |
| Article 4.5                 | 62,969                 | 439             | 63,409                             | (300,000)                            | 0                  | 422,382            | 52,844             | 238,635             | 489,482            | 728,117                  |
| <b>SUBTOTAL</b>             | <b>288,952</b>         | <b>3,468</b>    | <b>292,419</b>                     | <b>(698,382)</b>                     | <b>0</b>           | <b>594,783</b>     | <b>74,413</b>      | <b>263,234</b>      | <b>689,271</b>     | <b>952,505</b>           |
| Article 4/8                 |                        |                 |                                    |                                      |                    |                    |                    |                     |                    |                          |
| NVTA <sup>3</sup>           | 7,445,594              | 53,860          | 7,499,455                          | (11,931,921)                         | 0                  | 8,025,256          | 1,004,026          | 4,596,816           | 9,300,161          | 13,896,977               |
| <b>SUBTOTAL</b>             | <b>7,445,594</b>       | <b>53,860</b>   | <b>7,499,455</b>                   | <b>(11,931,921)</b>                  | <b>0</b>           | <b>8,025,256</b>   | <b>1,004,026</b>   | <b>4,596,816</b>    | <b>9,300,161</b>   | <b>13,896,977</b>        |
| <b>GRAND TOTAL</b>          | <b>\$7,734,546</b>     | <b>\$57,328</b> | <b>\$7,791,874</b>                 | <b>(\$12,630,303)</b>                | <b>\$0</b>         | <b>\$8,620,039</b> | <b>\$1,078,439</b> | <b>\$4,860,050</b>  | <b>\$9,989,432</b> | <b>\$14,849,482</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. NVTA is authorized to claim 100% of the apportionment to Napa County.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN FRANCISCO COUNTY**

Attachment A  
Res No. 4504  
Page 6 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |           |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|-----------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |           |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 44,562,500 |           | 13. County Auditor Estimate                           |           | 45,952,500 |
| 2. Revised Revenue (Feb, 21)                                    | 43,722,500 |           | <b>FY2022-23 Planning and Administration Charges</b>  |           |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | (840,000) | 14. MTC Administration (0.5% of Line 13)              | 229,763   |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 229,763   |            |
| 4. MTC Administration (0.5% of Line 3)                          | (4,200)    |           | 16. MTC Planning (3.0% of Line 13)                    | 1,378,575 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | (4,200)    |           | 17. Total Charges (Lines 14+15+16)                    |           | 1,838,101  |
| 6. MTC Planning (3.0% of Line 3)                                | (25,200)   |           | 18. TDA Generations Less Charges (Lines 13-17)        |           | 44,114,399 |
| 7. Total Charges (Lines 4+5+6)                                  |            | (33,600)  | <b>FY2022-23 TDA Apportionment By Article</b>         |           |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | (806,400) | 19. Article 3.0 (2.0% of Line 18)                     | 882,288   |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |           | 43,232,111 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | (16,128)   |           | 21. Article 4.5 (5.0% of Line 20)                     | 2,161,606 |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | (790,272) | 22. TDA Article 4 (Lines 20-21)                       |           | 41,070,505 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | (39,514)   |           |                                                       |           |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | (750,758) |                                                       |           |            |

| TDA APPORTIONMENT BY JURISDICTION |                        |                 |                                    |                                      |                    |                     |                    |                     |                     |                          |
|-----------------------------------|------------------------|-----------------|------------------------------------|--------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|--------------------------|
| Column                            | A                      | B               | C=Sum(A:B)                         | D                                    | E                  | F                   | G                  | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|                                   | 6/30/2021              | FY2020-21       | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22           | FY2021-22          | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions       | Balance (w/o interest) | Interest        | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate   | Revenue Adjustment | Projected Carryover | Revenue Estimate    | Available for Allocation |
| Article 3                         | 1,494,496              | 27,326          | 1,521,822                          | (1,621,504)                          | 0                  | 855,600             | (16,128)           | 739,790             | 882,288             | 1,622,078                |
| Article 4.5                       | 0                      | 0               | 0                                  | 0                                    | 0                  | 2,096,220           | (39,514)           | 2,056,706           | 2,161,606           | 4,218,312                |
| <b>SUBTOTAL</b>                   | <b>1,494,496</b>       | <b>27,326</b>   | <b>1,521,822</b>                   | <b>(1,621,504)</b>                   | <b>0</b>           | <b>2,951,820</b>    | <b>(55,642)</b>    | <b>2,796,496</b>    | <b>3,043,894</b>    | <b>5,840,390</b>         |
| Article 4                         |                        |                 |                                    |                                      |                    |                     |                    |                     |                     |                          |
| SFMTA                             | (6,579)                | 12,016          | 5,437                              | (41,924,399)                         | 0                  | 39,828,179          | (750,758)          | (2,841,541)         | 41,070,505          | 38,228,964               |
| <b>SUBTOTAL</b>                   | <b>(6,579)</b>         | <b>12,016</b>   | <b>5,437</b>                       | <b>(41,924,399)</b>                  | <b>0</b>           | <b>39,828,179</b>   | <b>(750,758)</b>   | <b>(2,841,541)</b>  | <b>41,070,505</b>   | <b>38,228,964</b>        |
| <b>GRAND TOTAL</b>                | <b>\$1,487,917</b>     | <b>\$39,342</b> | <b>\$1,527,259</b>                 | <b>(\$43,545,903)</b>                | <b>\$0</b>         | <b>\$42,779,999</b> | <b>(\$806,400)</b> | <b>(\$45,045)</b>   | <b>\$44,114,399</b> | <b>\$44,069,354</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN MATEO COUNTY**

Attachment A  
Res No. 4504  
Page 7 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |           |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|-----------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |           |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 42,857,457 |           | 13. County Auditor Estimate                           |           | 52,172,265 |
| 2. Revised Revenue (Feb, 21)                                    | 52,115,972 |           | <b>FY2022-23 Planning and Administration Charges</b>  |           |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | 9,258,515 | 14. MTC Administration (0.5% of Line 13)              | 260,861   |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 260,861   |            |
| 4. MTC Administration (0.5% of Line 3)                          | 46,293     |           | 16. MTC Planning (3.0% of Line 13)                    | 1,565,168 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 46,293     |           | 17. Total Charges (Lines 14+15+16)                    |           | 2,086,890  |
| 6. MTC Planning (3.0% of Line 3)                                | 277,755    |           | 18. TDA Generations Less Charges (Lines 13-17)        |           | 50,085,375 |
| 7. Total Charges (Lines 4+5+6)                                  |            | 370,341   | <b>FY2022-23 TDA Apportionment By Article</b>         |           |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | 8,888,174 | 19. Article 3.0 (2.0% of Line 18)                     | 1,001,707 |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |           | 49,083,668 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 177,763    |           | 21. Article 4.5 (5.0% of Line 20)                     | 2,454,183 |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | 8,710,411 | 22. TDA Article 4 (Lines 20-21)                       |           | 46,629,485 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 435,521    |           |                                                       |           |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | 8,274,890 |                                                       |           |            |

| TDA APPORTIONMENT BY JURISDICTION |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
|-----------------------------------|------------------------|------------------|------------------------------------|--------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|--------------------------|
| Column                            | A                      | B                | C=Sum(A:B)                         | D                                    | E                  | F                   | G                  | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|                                   | 6/30/2021              | FY2020-21        | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22           | FY2021-22          | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions       | Balance (w/o interest) | Interest         | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate   | Revenue Adjustment | Projected Carryover | Revenue Estimate    | Available for Allocation |
| Article 3                         | 3,821,580              | 5,921            | 3,827,501                          | (2,335,200)                          | 0                  | 822,863             | 177,763            | 2,492,927           | 1,001,707           | 3,494,634                |
| Article 4.5                       | 33,745                 | 7,443            | 41,187                             | (1,845,853)                          | 0                  | 2,016,015           | 435,521            | 646,870             | 2,454,183           | 3,101,053                |
| <b>SUBTOTAL</b>                   | <b>3,855,325</b>       | <b>13,363</b>    | <b>3,868,688</b>                   | <b>(4,181,053)</b>                   | <b>0</b>           | <b>2,838,878</b>    | <b>613,284</b>     | <b>3,139,797</b>    | <b>3,455,890</b>    | <b>6,595,687</b>         |
| Article 4                         |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| SamTrans                          | 641,144                | 141,406          | 782,550                            | (35,071,204)                         | 0                  | 38,304,281          | 8,274,890          | 12,290,517          | 46,629,485          | 58,920,002               |
| <b>SUBTOTAL</b>                   | <b>641,144</b>         | <b>141,406</b>   | <b>782,550</b>                     | <b>(35,071,204)</b>                  | <b>0</b>           | <b>38,304,281</b>   | <b>8,274,890</b>   | <b>12,290,517</b>   | <b>46,629,485</b>   | <b>58,920,002</b>        |
| <b>GRAND TOTAL</b>                | <b>\$4,496,469</b>     | <b>\$154,769</b> | <b>\$4,651,239</b>                 | <b>(\$39,252,257)</b>                | <b>\$0</b>         | <b>\$41,143,159</b> | <b>\$8,888,174</b> | <b>\$15,430,314</b> | <b>\$50,085,375</b> | <b>\$65,515,689</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.



**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SANTA CLARA COUNTY**

Attachment A  
Res No. 4504  
Page 8 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |             |           | FY2022-23 TDA Revenue Estimate                        |           |             |
|-----------------------------------------------------------------|-------------|-----------|-------------------------------------------------------|-----------|-------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |             |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |           |             |
| 1. Original County Auditor Estimate (Feb, 21)                   | 130,850,000 |           | 13. County Auditor Estimate                           |           | 140,649,000 |
| 2. Revised Revenue (Feb, 21)                                    | 135,892,343 |           | <b>FY2022-23 Planning and Administration Charges</b>  |           |             |
| 3. Revenue Adjustment (Lines 2-1)                               |             | 5,042,343 | 14. MTC Administration (0.5% of Line 13)              | 703,245   |             |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |             |           | 15. County Administration (0.5% of Line 13)           | 703,245   |             |
| 4. MTC Administration (0.5% of Line 3)                          | 25,212      |           | 16. MTC Planning (3.0% of Line 13)                    | 4,219,470 |             |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 25,212      |           | 17. Total Charges (Lines 14+15+16)                    |           | 5,625,960   |
| 6. MTC Planning (3.0% of Line 3)                                | 151,270     |           | 18. TDA Generations Less Charges (Lines 13-17)        |           | 135,023,040 |
| 7. Total Charges (Lines 4+5+6)                                  |             | 201,694   | <b>FY2022-23 TDA Apportionment By Article</b>         |           |             |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |             | 4,840,649 | 19. Article 3.0 (2.0% of Line 18)                     | 2,700,461 |             |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |             |           | 20. Funds Remaining (Lines 18-19)                     |           | 132,322,579 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 96,813      |           | 21. Article 4.5 (5.0% of Line 20)                     | 6,616,129 |             |
| 10. Funds Remaining (Lines 8-9)                                 |             | 4,743,836 | 22. TDA Article 4 (Lines 20-21)                       |           | 125,706,450 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 237,192     |           |                                                       |           |             |
| 12. Article 4 Adjustment (Lines 10-11)                          |             | 4,506,644 |                                                       |           |             |

**TDA APPORTIONMENT BY JURISDICTION**

| Column                      | A                      | B                | C=Sum(A:B)                         | D                                    | E                  | F                    | G                  | H=Sum(C:G)          | I                    | J=Sum(H:I)               |
|-----------------------------|------------------------|------------------|------------------------------------|--------------------------------------|--------------------|----------------------|--------------------|---------------------|----------------------|--------------------------|
|                             | 6/30/2021              | FY2020-21        | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22            | FY2021-22          | 6/30/2022           | FY2022-23            | FY2022-23                |
| Apportionment Jurisdictions | Balance (w/o interest) | Interest         | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate    | Revenue Adjustment | Projected Carryover | Revenue Estimate     | Available for Allocation |
| Article 3                   | 6,698,078              | 127,681          | 6,825,759                          | (6,779,023)                          |                    | 2,512,320            | 96,813             | 2,655,869           | 2,700,461            | 5,356,330                |
| Article 4.5                 | 46,612                 | 2,098            | 48,710                             | (6,176,706)                          | 0                  | 6,155,184            | 237,192            | 264,380             | 6,616,129            | 6,880,509                |
| <b>SUBTOTAL</b>             | <b>6,744,690</b>       | <b>129,779</b>   | <b>6,874,469</b>                   | <b>(12,955,729)</b>                  | <b>0</b>           | <b>8,667,504</b>     | <b>334,005</b>     | <b>2,920,249</b>    | <b>9,316,590</b>     | <b>12,236,839</b>        |
| Article 4                   |                        |                  |                                    |                                      |                    |                      |                    |                     |                      |                          |
| VTA                         | 885,577                | 39,860           | 925,437                            | (117,357,404)                        | 0                  | 116,948,496          | 4,506,644          | 5,023,173           | 125,706,450          | 130,729,623              |
| <b>SUBTOTAL</b>             | <b>885,577</b>         | <b>39,860</b>    | <b>925,437</b>                     | <b>(117,357,404)</b>                 | <b>0</b>           | <b>116,948,496</b>   | <b>4,506,644</b>   | <b>5,023,173</b>    | <b>125,706,450</b>   | <b>130,729,623</b>       |
| <b>GRAND TOTAL</b>          | <b>\$7,630,267</b>     | <b>\$169,639</b> | <b>\$7,799,906</b>                 | <b>(\$130,313,133)</b>               | <b>\$0</b>         | <b>\$125,616,000</b> | <b>\$4,840,649</b> | <b>\$7,943,422</b>  | <b>\$135,023,040</b> | <b>\$142,966,462</b>     |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SOLANO COUNTY**

Attachment A  
Res No. 4504  
Page 9 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |         |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|---------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |         |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 22,483,483 |           | 13. County Auditor Estimate                           |         | 25,527,409 |
| 2. Revised Revenue (Feb, 21)                                    | 25,527,409 |           | <b>FY2022-23 Planning and Administration Charges</b>  |         |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | 3,043,926 | 14. MTC Administration (0.5% of Line 13)              | 127,637 |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 127,637 |            |
| 4. MTC Administration (0.5% of Line 3)                          | 15,220     |           | 16. MTC Planning (3.0% of Line 13)                    | 765,822 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 15,220     |           | 17. Total Charges (Lines 14+15+16)                    |         | 1,021,096  |
| 6. MTC Planning (3.0% of Line 3)                                | 91,318     |           | 18. TDA Generations Less Charges (Lines 13-17)        |         | 24,506,313 |
| 7. Total Charges (Lines 4+5+6)                                  |            | 121,758   | <b>FY2022-23 TDA Apportionment By Article</b>         |         |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | 2,922,168 | 19. Article 3.0 (2.0% of Line 18)                     | 490,126 |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |         | 24,016,187 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 58,443     |           | 21. Article 4.5 (5.0% of Line 20)                     | 0       |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | 2,863,725 | 22. TDA Article 4 (Lines 20-21)                       |         | 24,016,187 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 0          |           |                                                       |         |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | 2,863,725 |                                                       |         |            |

**TDA APPORTIONMENT BY JURISDICTION**

| Column                      | A                      | B                | C=Sum(A:B)                         | D                                    | E                  | F                   | G                  | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|-----------------------------|------------------------|------------------|------------------------------------|--------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|--------------------------|
|                             | 6/30/2021              | FY2020-21        | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22           | FY2021-22          | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions | Balance (w/o interest) | Interest         | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate   | Revenue Adjustment | Projected Carryover | Revenue Estimate    | Available for Allocation |
| Article 3                   | 1,070,802              | 12,455           | 1,083,257                          | (1,458,247)                          | 0                  | 431,683             | 58,443             | 115,136             | 490,126             | 605,262                  |
| Article 4.5                 |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| <b>SUBTOTAL</b>             | <b>1,070,802</b>       | <b>12,455</b>    | <b>1,083,257</b>                   | <b>(1,458,247)</b>                   | <b>0</b>           | <b>431,683</b>      | <b>58,443</b>      | <b>115,136</b>      | <b>490,126</b>      | <b>605,262</b>           |
| Article 4/8                 |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| Dixon                       | 1,445,864              | 11,474           | 1,457,337                          | (827,497)                            | 0                  | 959,641             | 129,921            | 1,719,402           | 1,106,100           | 2,825,502                |
| Fairfield                   | 6,662,070              | 53,486           | 6,715,556                          | (510,449)                            | 0                  | 5,620,857           | 760,979            | 12,586,943          | 6,462,613           | 19,049,556               |
| Rio Vista                   | 754,075                | 6,511            | 760,586                            | (25,434)                             | 0                  | 479,869             | 64,967             | 1,279,988           | 552,037             | 1,832,025                |
| Solano County               | 2,774,178              | 21,152           | 2,795,330                          | (780,504)                            | 0                  | 916,397             | 124,066            | 3,055,288           | 1,005,770           | 4,061,058                |
| Suisun City                 | 302,609                | 1,889            | 304,498                            | (420,138)                            | 0                  | 1,399,148           | 189,424            | 1,472,931           | 1,581,740           | 3,054,671                |
| Vacaville                   | 13,266,661             | 100,735          | 13,367,395                         | (4,751,090)                          | 0                  | 4,749,915           | 643,067            | 14,009,287          | 5,369,273           | 19,378,560               |
| Vallejo/Benicia             | 11,514,349             | 89,180           | 11,603,528                         | (7,722,133)                          | 0                  | 7,026,636           | 951,301            | 11,859,332          | 7,938,655           | 19,797,987               |
| <b>SUBTOTAL</b>             | <b>36,719,804</b>      | <b>284,426</b>   | <b>37,004,230</b>                  | <b>(15,037,245)</b>                  | <b>0</b>           | <b>21,152,462</b>   | <b>2,863,725</b>   | <b>45,983,171</b>   | <b>24,016,187</b>   | <b>69,999,358</b>        |
| <b>GRAND TOTAL</b>          | <b>\$37,790,606</b>    | <b>\$296,881</b> | <b>\$38,087,487</b>                | <b>(\$16,495,492)</b>                | <b>\$0</b>         | <b>\$21,584,145</b> | <b>\$2,922,168</b> | <b>\$46,098,307</b> | <b>\$24,506,313</b> | <b>\$70,604,620</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.

**FY 2022-23 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SONOMA COUNTY**

Attachment A  
Res No. 4504  
Page 10 of 20  
2/23/2022

| FY2021-22 TDA Revenue Estimate                                  |            |           | FY2022-23 TDA Revenue Estimate                        |         |            |
|-----------------------------------------------------------------|------------|-----------|-------------------------------------------------------|---------|------------|
| <b>FY2021-22 Generation Estimate Adjustment</b>                 |            |           | <b>FY2022-23 County Auditor's Generation Estimate</b> |         |            |
| 1. Original County Auditor Estimate (Feb, 21)                   | 26,600,000 |           | 13. County Auditor Estimate                           |         | 32,025,000 |
| 2. Revised Revenue (Feb, 21)                                    | 30,500,000 |           | <b>FY2022-23 Planning and Administration Charges</b>  |         |            |
| 3. Revenue Adjustment (Lines 2-1)                               |            | 3,900,000 | 14. MTC Administration (0.5% of Line 13)              | 160,125 |            |
| <b>FY2021-22 Planning and Administration Charges Adjustment</b> |            |           | 15. County Administration (0.5% of Line 13)           | 160,125 |            |
| 4. MTC Administration (0.5% of Line 3)                          | 19,500     |           | 16. MTC Planning (3.0% of Line 13)                    | 960,750 |            |
| 5. County Administration (Up to 0.5% of Line 3) <sup>4</sup>    | 19,500     |           | 17. Total Charges (Lines 14+15+16)                    |         | 1,281,000  |
| 6. MTC Planning (3.0% of Line 3)                                | 117,000    |           | 18. TDA Generations Less Charges (Lines 13-17)        |         | 30,744,000 |
| 7. Total Charges (Lines 4+5+6)                                  |            | 156,000   | <b>FY2022-23 TDA Apportionment By Article</b>         |         |            |
| 8. Adjusted Generations Less Charges (Lines 3-7)                |            | 3,744,000 | 19. Article 3.0 (2.0% of Line 18)                     | 614,880 |            |
| <b>FY2021-22 TDA Adjustment By Article</b>                      |            |           | 20. Funds Remaining (Lines 18-19)                     |         | 30,129,120 |
| 9. Article 3 Adjustment (2.0% of line 8)                        | 74,880     |           | 21. Article 4.5 (5.0% of Line 20)                     | 0       |            |
| 10. Funds Remaining (Lines 8-9)                                 |            | 3,669,120 | 22. TDA Article 4 (Lines 20-21)                       |         | 30,129,120 |
| 11. Article 4.5 Adjustment (5.0% of Line 10)                    | 0          |           |                                                       |         |            |
| 12. Article 4 Adjustment (Lines 10-11)                          |            | 3,669,120 |                                                       |         |            |

| TDA APPORTIONMENT BY JURISDICTION |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
|-----------------------------------|------------------------|------------------|------------------------------------|--------------------------------------|--------------------|---------------------|--------------------|---------------------|---------------------|--------------------------|
| Column                            | A                      | B                | C=Sum(A:B)                         | D                                    | E                  | F                   | G                  | H=Sum(C:G)          | I                   | J=Sum(H:I)               |
|                                   | 6/30/2021              | FY2020-21        | 6/30/2021                          | FY2020-22                            | FY2021-22          | FY2021-22           | FY2021-22          | 6/30/2022           | FY2022-23           | FY2022-23                |
| Apportionment Jurisdictions       | Balance (w/o interest) | Interest         | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Transfers/ Refunds | Original Estimate   | Revenue Adjustment | Projected Carryover | Revenue Estimate    | Available for Allocation |
| Article 3                         | 2,353,141              | 20,080           | 2,373,220                          | (1,705,419)                          | 0                  | 510,720             | 74,880             | 1,253,401           | 614,880             | 1,868,281                |
| Article 4.5                       |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| <b>SUBTOTAL</b>                   | <b>2,353,141</b>       | <b>20,080</b>    | <b>2,373,220</b>                   | <b>(1,705,419)</b>                   | <b>0</b>           | <b>510,720</b>      | <b>74,880</b>      | <b>1,253,401</b>    | <b>614,880</b>      | <b>1,868,281</b>         |
| Article 4/8                       |                        |                  |                                    |                                      |                    |                     |                    |                     |                     |                          |
| GGBHTD <sup>3</sup>               | 122,632                | 6,603            | 129,235                            | (6,322,679)                          | 0                  | 6,216,280           | 911,409            | 934,245             | 7,490,436           | 8,424,681                |
| Petaluma                          | 2,146,824              | 18,338           | 2,165,162                          | (381,165)                            | 0                  | 1,951,972           | 286,191            | 4,022,160           | 2,405,670           | 6,427,830                |
| Santa Rosa                        | 7,538,590              | 48,693           | 7,587,283                          | (7,735,000)                          | 0                  | 6,764,333           | 991,763            | 7,608,379           | 8,156,373           | 15,764,752               |
| Sonoma County                     | 11,421,010             | 56,904           | 11,477,914                         | (12,482,771)                         | 0                  | 10,092,695          | 1,479,756          | 10,567,595          | 12,076,641          | 22,644,236               |
| <b>SUBTOTAL</b>                   | <b>21,229,057</b>      | <b>130,537</b>   | <b>21,359,594</b>                  | <b>(26,921,615)</b>                  | <b>0</b>           | <b>25,025,280</b>   | <b>3,669,120</b>   | <b>23,132,379</b>   | <b>30,129,120</b>   | <b>53,261,499</b>        |
| <b>GRAND TOTAL</b>                | <b>\$23,582,197</b>    | <b>\$150,617</b> | <b>\$23,732,814</b>                | <b>(\$28,627,034)</b>                | <b>\$0</b>         | <b>\$25,536,000</b> | <b>\$3,744,000</b> | <b>\$24,385,780</b> | <b>\$30,744,000</b> | <b>\$55,129,780</b>      |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.  
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.  
3. Apportionment to GGBHTD is based on the Sonoma County Transportation Authority's coordinated TDA claim.  
4. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

**FY 2022-23 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
REVENUE-BASED FUNDS (PUC 99314)**

Attachment A  
Res No. 4504  
Page 11 of 20  
2/23/2022

| FY2021-22 STA Revenue Estimate           |               | FY2022-23 STA Revenue Estimate       |               |
|------------------------------------------|---------------|--------------------------------------|---------------|
| 1. State Estimate (Jan, 22) <sup>3</sup> | \$179,286,505 | 4. Projected Carryover (Jan, 22)     | \$76,469,162  |
| 2. Actual Revenue (Aug, 22)              |               | 5. State Estimate (Jan, 22)          | \$196,846,976 |
| 3. Revenue Adjustment (Lines 2-1)        |               | 6. Total Funds Available (Lines 4+5) | \$273,316,138 |

**STA REVENUE-BASED APPORTIONMENT BY OPERATOR**

| Column                       | A                                    | B                                       | C                             | D=Sum(A:C)                          | E                                | F=Sum(D:E)                  |
|------------------------------|--------------------------------------|-----------------------------------------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------|
|                              | 6/30/2021                            | FY2020-22                               | FY2021-22                     | 6/30/2022                           | FY2022-23                        | Total                       |
| Apportionment Jurisdictions  | Balance<br>(w/interest) <sup>1</sup> | Outstanding<br>Commitments <sup>2</sup> | Revenue Estimate <sup>3</sup> | Projected<br>Carryover <sup>4</sup> | Revenue<br>Estimate <sup>5</sup> | Available For<br>Allocation |
| ACCMA - Corresponding to ACE | 52,613                               | 0                                       | 261,691                       | 314,304                             | 287,323                          | 601,627                     |
| Caltrain                     | 6,889,123                            | 10,041,955                              | 8,497,982                     | 25,429,060                          | 9,330,328                        | 34,759,388                  |
| CCCTA                        | 265,164                              | (612,000)                               | 745,031                       | 398,195                             | 818,003                          | 1,216,198                   |
| City of Dixon                | 38,515                               | 0                                       | 7,274                         | 45,789                              | 7,987                            | 53,776                      |
| ECCTA                        | 70,973                               | (358,048)                               | 360,211                       | 73,136                              | 395,492                          | 468,628                     |
| City of Fairfield            | 26,516                               | 0                                       | 132,200                       | 158,716                             | 145,149                          | 303,865                     |
| GGBHTD                       | 190,889                              | (8,396,836)                             | 8,154,174                     | (51,773)                            | 8,952,845                        | 8,901,072                   |
| LAVTA                        | 430,624                              | (712,236)                               | 357,375                       | 75,763                              | 392,378                          | 468,141                     |
| Marin Transit                | 2,185,087                            | (1,480,837)                             | 1,393,573                     | 2,097,823                           | 1,530,069                        | 3,627,892                   |
| NVTA                         | 16,737                               | (97,408)                                | 101,174                       | 20,503                              | 111,084                          | 131,587                     |
| City of Petaluma             | 10,422                               | 0                                       | 43,410                        | 53,832                              | 47,662                           | 101,494                     |
| City of Rio Vista            | 13,973                               | 0                                       | 2,312                         | 16,285                              | 2,539                            | 18,824                      |
| SamTrans                     | 3,657,013                            | (10,630,852)                            | 8,522,922                     | 1,549,083                           | 9,357,711                        | 10,906,794                  |
| SMART                        | 352,982                              | 0                                       | 1,761,701                     | 2,114,683                           | 1,934,254                        | 4,048,937                   |
| City of Santa Rosa           | 28,829                               | (174,524)                               | 145,869                       | 174                                 | 160,157                          | 160,331                     |
| Solano County Transit        | 43,917                               | (291,716)                               | 310,718                       | 62,919                              | 341,151                          | 404,070                     |
| Sonoma County Transit        | 44,626                               | (206,612)                               | 203,198                       | 41,212                              | 223,101                          | 264,313                     |
| City of Union City           | 22,171                               | 0                                       | 110,392                       | 132,563                             | 121,205                          | 253,768                     |
| Vacaville City Coach         | 96,894                               | 0                                       | 23,660                        | 120,554                             | 25,977                           | 146,531                     |
| VTA                          | 604,707                              | (26,436,776)                            | 25,832,080                    | 11                                  | 28,362,239                       | 28,362,250                  |
| VTA - Corresponding to ACE   | 0                                    | (150,975)                               | 150,976                       | 1                                   | 165,763                          | 165,764                     |
| WCCTA                        | 93,077                               | (472,527)                               | 472,526                       | 93,076                              | 518,809                          | 611,885                     |
| WETA                         | 13,947,017                           | (5,289,400)                             | 2,317,255                     | 10,974,872                          | 2,544,222                        | 13,519,094                  |
| <b>SUBTOTAL</b>              | <b>29,081,870</b>                    | <b>(45,268,792)</b>                     | <b>59,907,704</b>             | <b>43,720,781</b>                   | <b>65,775,448</b>                | <b>109,496,229</b>          |
| AC Transit                   | 533,531                              | (18,707,978)                            | 22,789,317                    | 4,614,870                           | 25,021,448                       | 29,636,318                  |
| BART                         | 49                                   | (7,190,823)                             | 35,710,889                    | 28,520,115                          | 39,208,642                       | 67,728,757                  |
| SFMTA                        | 1,425,094                            | (62,690,293)                            | 60,878,595                    | (386,604)                           | 66,841,434                       | 66,454,830                  |
| <b>SUBTOTAL</b>              | <b>1,958,675</b>                     | <b>(88,589,094)</b>                     | <b>119,378,801</b>            | <b>32,748,381</b>                   | <b>131,071,524</b>               | <b>163,819,905</b>          |
| <b>GRAND TOTAL</b>           | <b>\$31,040,545</b>                  | <b>(\$133,857,886)</b>                  | <b>\$179,286,505</b>          | <b>\$76,469,162</b>                 | <b>\$196,846,972</b>             | <b>\$273,316,134</b>        |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY 2021-22 allocations as of 1/31/22.

3. FY 2021-22 STA revenue generation is based on revised estimates from the State Controller's Office in August 2021.

4. Projected carryover as of 6/30/22 does not include interest accrued in FY2021-22.

5. FY2022-23 STA revenue generation based on January 2022 State Controller's Office (SCO) forecast.

**FY 2022-23 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313) - FY 2018-19 ONWARDS**

| FY2021-22 STA Revenue Estimate           |              | FY2022-23 STA Revenue Estimate           |               |
|------------------------------------------|--------------|------------------------------------------|---------------|
| 1. State Estimate (Aug, 21) <sup>3</sup> | \$65,303,438 | 4. Projected Carryover (Jan, 22)         | \$73,673,061  |
| 2. Actual Revenue (Aug, 21)              |              | 5. State Estimate <sup>4</sup> (Jan, 22) | \$71,699,675  |
| 3. Revenue Adjustment (Lines 2-1)        |              | 6. Total Funds Available (Lines 4+5)     | \$145,372,736 |

**STA POPULATION-BASED COUNTY BLOCK GRANT AND REGIONAL PROGRAM APPORTIONMENT**

| Column                                                        | A<br>6/30/2021<br>Balance<br>(w/interest) <sup>1</sup> | C<br>FY2020-22<br>Outstanding<br>Commitments <sup>2</sup> | D<br>FY2021-22<br>Revenue Estimate <sup>3</sup> | E=Sum(A:D)<br>6/30/2022<br>Projected<br>Carryover <sup>4</sup> | F<br>FY2022-23<br>Revenue<br>Estimate <sup>5</sup> | G=Sum(E:F)<br>Total<br>Available For<br>Allocation |
|---------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------|----------------------------------------------------|
| <b>Apportionment Jurisdictions</b>                            |                                                        |                                                           |                                                 |                                                                |                                                    |                                                    |
| <b>County Block Grant<sup>6</sup></b>                         |                                                        |                                                           |                                                 |                                                                |                                                    |                                                    |
| Alameda                                                       | 199,785                                                | (7,048,829)                                               | 8,055,421                                       | 1,206,377                                                      | 0                                                  | 1,206,377                                          |
| Contra Costa                                                  | 243,606                                                | (10,286,298)                                              | 10,108,531                                      | 65,839                                                         | 0                                                  | 65,839                                             |
| Marin                                                         | 65,034                                                 | (2,547,700)                                               | 2,600,416                                       | 117,750                                                        | 0                                                  | 117,750                                            |
| Napa                                                          | 320,353                                                | (1,908,843)                                               | 1,590,680                                       | 2,190                                                          | 0                                                  | 2,190                                              |
| San Francisco                                                 | 1,077,367                                              | (4,691,593)                                               | 3,853,147                                       | 238,921                                                        | 0                                                  | 238,921                                            |
| San Mateo                                                     | 4,730,645                                              | (2,670,725)                                               | 2,306,979                                       | 4,366,898                                                      | 0                                                  | 4,366,898                                          |
| Santa Clara                                                   | 151,837                                                | (6,572,999)                                               | 6,421,702                                       | 540                                                            | 0                                                  | 540                                                |
| Solano                                                        | 10,368,402                                             | (9,035,264)                                               | 4,785,725                                       | 6,118,863                                                      | 0                                                  | 6,118,863                                          |
| Sonoma                                                        | 149,882                                                | (4,506,010)                                               | 5,847,190                                       | 1,491,062                                                      | 0                                                  | 1,491,062                                          |
| <b>SUBTOTAL</b>                                               | <b>17,306,911</b>                                      | <b>(49,268,261)</b>                                       | <b>45,569,791</b>                               | <b>13,608,440</b>                                              | <b>0</b>                                           | <b>13,608,440</b>                                  |
| <b>Regional Program</b>                                       | 17,009,857                                             | (9,867,520)                                               | 19,529,911                                      | 26,672,248                                                     | 13,509,903                                         | 40,182,151                                         |
| <b>Means-Based Transit Fare Program</b>                       | 34,338,673                                             | (1,950,618)                                               | 0                                               | 32,388,055                                                     | 8,000,000                                          | 40,388,055                                         |
| <b>FY22-23 Revenue - 70% of STA Pop Revenue<sup>7</sup></b>   | 0                                                      | 0                                                         | 0                                               | 0                                                              | 50,189,773                                         | 50,189,773                                         |
| <b>Transit Emergency Service Contingency Fund<sup>8</sup></b> | 800,582                                                | 0                                                         | 203,736                                         | 1,004,318                                                      | 0                                                  | 1,004,318                                          |
| <b>GRAND TOTAL</b>                                            | <b>\$69,456,022</b>                                    | <b>(\$61,086,399)</b>                                     | <b>\$65,303,438</b>                             | <b>\$73,673,061</b>                                            | <b>\$71,699,676</b>                                | <b>\$145,372,737</b>                               |

1. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed. Balances from the Northern County/Small Operator and Regional Paratransit programs, previously established by MTC Resolution 3837, have been transferred to the appropriate County Block Grant program.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.

3. FY 2021-22 STA revenue generation is based on revised estimates from the Governor's proposed budget in January 2022.

4. The projected carryover as of 6/30/2022 does not include interest accrued in FY 2021-22.

5. FY2022-23 STA revenue generation based on forecasts from the State Controller's Office from January 2022.

6. County Block Grant adopted through MTC Resolution 4321 in February 2018, and funded through a 70% share of STA Population-Based funds.

7. The County Block Grant program will be suspended in FY23, per amendment to MTC Resolution 4321, Revised. New revenues will instead be programmed directly to operators. Additional details on p13.

8. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program.

**FY 2022-23 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313) - AMERICAN RESCUE PLAN EXCHANGE (FY 2022-23)**

Attachment A  
Res No. 4504  
Page 13 of 20

| Apportionment Jurisdictions <sup>1</sup> | FY2022-23<br>Jan. 2022 Estimate <sup>2</sup> | ARP Exchange Amount <sup>3</sup> | Estimated FY2022-23 Revenue to<br>Operators |
|------------------------------------------|----------------------------------------------|----------------------------------|---------------------------------------------|
| <b>Alameda</b>                           | <b>\$8,872,100</b>                           | <b>\$6,165,689</b>               | <b>\$2,706,410</b>                          |
| AC Transit                               | \$5,344,109                                  | \$4,807,453                      | \$536,656                                   |
| BART                                     | \$859,706                                    | \$780,570                        | \$79,136                                    |
| LAVTA                                    | \$1,912,825                                  | \$535,322                        | \$1,377,503                                 |
| Union City                               | \$755,459                                    | \$42,344                         | \$713,115                                   |
| <b>Contra Costa</b>                      | <b>\$11,133,360</b>                          | <b>\$2,436,722</b>               | <b>\$8,696,638</b>                          |
| County Connection                        | \$5,254,946                                  | \$548,920                        | \$4,706,026                                 |
| Tri Delta                                | \$3,351,141                                  | \$178,426                        | \$3,172,715                                 |
| WestCAT                                  | \$846,135                                    | \$270,627                        | \$575,508                                   |
| AC Transit                               | \$1,603,204                                  | \$1,367,989                      | \$235,215                                   |
| BART                                     | \$77,934                                     | \$70,760                         | \$7,174                                     |
| <b>Marin</b>                             | <b>\$2,864,053</b>                           | <b>\$1,291,961</b>               | <b>\$1,572,091</b>                          |
| GGBHTD                                   | \$1,048,348                                  | \$1,048,348                      | \$0                                         |
| Marin Transit                            | \$1,756,598                                  | \$243,613                        | \$1,512,985                                 |
| SMART                                    | \$59,106                                     | \$0                              | \$59,106                                    |
| <b>Napa</b>                              | <b>\$1,751,947</b>                           | <b>\$216,814</b>                 | <b>\$1,535,133</b>                          |
| NVTA                                     | \$1,751,947                                  | \$216,814                        | \$1,535,133                                 |
| <b>San Francisco</b>                     | <b>\$4,243,789</b>                           | <b>\$3,853,147</b>               | <b>\$390,642</b>                            |
| SFMTA                                    | \$4,243,789                                  | \$3,853,147                      | \$390,642                                   |
| <b>San Mateo</b>                         | <b>\$2,540,866</b>                           | <b>\$1,460,519</b>               | <b>\$1,080,347</b>                          |
| SamTrans                                 | \$2,540,866                                  | \$1,460,519                      | \$1,080,347                                 |
| <b>Santa Clara</b>                       | <b>\$7,072,750</b>                           | <b>\$5,202,490</b>               | <b>\$1,870,260</b>                          |
| VTA                                      | \$7,072,750                                  | \$5,202,490                      | \$1,870,260                                 |
| <b>Solano</b>                            | <b>\$5,270,914</b>                           | <b>\$613,192</b>                 | <b>\$4,657,722</b>                          |
| Solano County Operators                  | \$5,270,914                                  | \$613,192                        | \$4,657,722                                 |
| <b>Sonoma</b>                            | <b>\$6,439,993</b>                           | <b>\$868,262</b>                 | <b>\$5,571,731</b>                          |
| Sonoma County Operators                  | \$6,439,993                                  | \$118,262                        | \$6,321,731                                 |
| <b>GRAND TOTAL</b>                       | <b>\$50,189,773</b>                          | <b>\$21,358,796</b>              | <b>\$28,830,976</b>                         |

1. FY 2022-23 programming amounts for each county reflect each county's share of the STA County Block Grant program established in MTC Resolution 4321, Revised.

The County Block Grant program is suspended for FY2022-23, and will resume in FY 2023-24.

2. Programming amounts by operator reflect county transportation agency adopted frameworks for FY 23 in Alameda, Contra Costa, Napa, Santa Clara, Solano and Sonoma counties, a transit operator agreement in Marin County, and a direct apportionment of funds to the local transit operator in San Francisco and San Mateo counties.

3. American Rescue Plan (ARP) exchange amounts for each operator are shown in order to fulfill the funding exchange detailed in MTC Resolution 4481, Revised.

**FY 2022-23 FUND ESTIMATE  
BRIDGE TOLLS<sup>1</sup>**

**BRIDGE TOLL APPORTIONMENT BY CATEGORY**

| <i>Column</i>                         | <b>A</b>                   | <b>B</b>                                       | <b>C</b>                              | <b>D=Sum(A:C)</b>              | <b>E</b>                              | <b>F=D+E</b>                    |
|---------------------------------------|----------------------------|------------------------------------------------|---------------------------------------|--------------------------------|---------------------------------------|---------------------------------|
|                                       | <b>6/30/2021</b>           | <b>FY2020-22</b>                               | <b>FY2021-22</b>                      | <b>6/30/2022</b>               | <b>FY2022-23</b>                      | <b>Total</b>                    |
| <b>Fund Source</b>                    | <b>Balance<sup>2</sup></b> | <b>Outstanding<br/>Commitments<sup>3</sup></b> | <b>Programming Amount<sup>4</sup></b> | <b>Projected<br/>Carryover</b> | <b>Programming Amount<sup>4</sup></b> | <b>Available for Allocation</b> |
| <b>MTC 2% Toll Revenues</b>           |                            |                                                |                                       |                                |                                       |                                 |
| Ferry Capital                         | 7,896,840                  | (3,523,771)                                    | 1,000,000                             | 5,373,069                      | 1,000,000                             | 6,373,069                       |
| Bay Trail                             | 64,034                     | (514,034)                                      | 450,000                               | 0                              | 450,000                               | 450,000                         |
| Studies                               | 497,993                    | (100,000)                                      | 250,000                               | 647,993                        | 0                                     | 647,993                         |
| <b>SUBTOTAL</b>                       | <b>8,458,867</b>           | <b>(4,137,805)</b>                             | <b>1,700,000</b>                      | <b>6,021,062</b>               | <b>1,450,000</b>                      | <b>7,471,062</b>                |
| <b>5% State General Fund Revenues</b> |                            |                                                |                                       |                                |                                       |                                 |
| Ferry                                 | 17,859,499                 | 0                                              | 3,126,721                             | 20,986,220                     | 3,442,511                             | 24,428,731                      |
| Bay Trail                             | 180,472                    | (281,706)                                      | 281,706                               | 180,472                        | 287,369                               | 467,841                         |
| <b>SUBTOTAL</b>                       | <b>18,039,971</b>          | <b>(281,706)</b>                               | <b>3,408,427</b>                      | <b>21,166,692</b>              | <b>3,729,880</b>                      | <b>24,896,572</b>               |

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The MTC 2% Toll Revenues listed above, commencing in FY2010-11, are funded from this payment.
2. Balance as of 6/30/21 is from the MTC FY2020-21 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2021-22 allocations as of 1/31/22.
4. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for 2%, and 5% bridge toll revenues.

**FY 2022-23 FUND ESTIMATE  
 AB1107 FUNDS  
 AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX**

| FY2021-22 AB1107 Revenue Estimate  |              | FY2022-23 AB1107 Estimate            |               |
|------------------------------------|--------------|--------------------------------------|---------------|
| 1. Original MTC Estimate (Feb, 21) | \$83,000,000 | 4. Projected Carryover (Jun, 21)     | \$0           |
| 2. Revised Estimate (Feb, 22)      | \$98,000,000 | 5. MTC Estimate (Feb, 22)            | \$100,000,000 |
| 3. Revenue Adjustment (Lines 2-1)  | \$15,000,000 | 6. Total Funds Available (Lines 4+5) | \$100,000,000 |

**AB1107 APPORTIONMENT BY OPERATOR**

| Column                      | A<br>6/30/2021         | B<br>FY2020-21 | C=Sum(A:B)<br>6/30/2021            | D<br>FY2020-22                       | E<br>FY2021-22      | F<br>FY2021-22      | G=Sum(A:F)<br>6/30/2022 | H<br>FY2022-23       | I=Sum(G:H)<br>FY2022-23  |
|-----------------------------|------------------------|----------------|------------------------------------|--------------------------------------|---------------------|---------------------|-------------------------|----------------------|--------------------------|
| Apportionment Jurisdictions | Balance (w/o interest) | Interest       | Balance (w/ interest) <sup>1</sup> | Outstanding Commitments <sup>2</sup> | Original Estimate   | Revenue Adjustment  | Projected Carryover     | Revenue Estimate     | Available for Allocation |
| AC Transit                  | 0                      | 0              | 0                                  | (49,000,000)                         | 41,500,000          | 7,500,000           | 0                       | 50,000,000           | 50,000,000               |
| SFMTA                       | 0                      | 0              | 0                                  | (49,000,000)                         | 41,500,000          | 7,500,000           | 0                       | 50,000,000           | 50,000,000               |
| <b>TOTAL</b>                | <b>\$0</b>             | <b>\$0</b>     | <b>\$0</b>                         | <b>(\$98,000,000)</b>                | <b>\$83,000,000</b> | <b>\$15,000,000</b> | <b>\$0</b>              | <b>\$100,000,000</b> | <b>\$100,000,000</b>     |

1. Balance as of 6/30/21 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/21, and FY2020-21 allocations as of 1/31/22.



**FY 2022-23 FUND ESTIMATE  
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES  
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

Attachment A  
Res No. 4504  
Page 16 of 20  
2/23/2022

| <b>ARTICLE 4.5 SUBAPPORTIONMENT</b> |                            |                                 |
|-------------------------------------|----------------------------|---------------------------------|
| <b>Apportionment Jurisdictions</b>  | <b>Alameda Article 4.5</b> | <b>Contra Costa Article 4.5</b> |
| <b>Total Available</b>              | <b>\$5,752,834</b>         | <b>\$3,238,038</b>              |
| AC Transit                          | \$5,109,152                | \$962,989                       |
| LAVTA                               | \$191,227                  |                                 |
| Pleasanton                          | \$105,121                  |                                 |
| Union City                          | \$347,336                  |                                 |
| CCCTA                               |                            | \$1,332,243                     |
| ECCTA                               |                            | \$724,474                       |
| WCCTA                               |                            | \$218,331                       |

**IMPLEMENTATION OF OPERATOR AGREEMENTS**

| <b>Apportionment of BART Funds to Implement Transit Coordination Program</b> |                                                       |
|------------------------------------------------------------------------------|-------------------------------------------------------|
| <b>Apportionment Jurisdictions</b>                                           | <b>Total Available Funds (TDA and STA) FY 2021-22</b> |
| CCCTA                                                                        | \$864,033                                             |
| LAVTA                                                                        | \$716,617                                             |
| ECCTA                                                                        | \$2,808,992                                           |
| WCCTA                                                                        | \$2,784,874                                           |

| <b>Fund Source</b>                                              | <b>Apportionment Jurisdictions</b> | <b>Claimant</b> | <b>Amount<sup>1</sup></b> | <b>Program</b>        |
|-----------------------------------------------------------------|------------------------------------|-----------------|---------------------------|-----------------------|
| <b>Total Available BART STA Revenue-Based Funds<sup>2</sup></b> |                                    |                 | <b>\$67,728,757</b>       |                       |
| STA Revenue-Based                                               | BART                               | CCCTA           | (864,033)                 | BART Feeder Bus       |
| STA Revenue-Based                                               | BART                               | LAVTA           | (601,584)                 | BART Feeder Bus       |
| STA Revenue-Based                                               | BART                               | ECCTA           | (2,808,992)               | BART Feeder Bus       |
| STA Revenue-Based                                               | BART                               | WCCTA           | (2,493,826)               | BART Feeder Bus       |
| <b>Total Payment</b>                                            |                                    |                 | <b>(6,768,434)</b>        |                       |
| <b>Remaining BART STA Revenue-Based Funds</b>                   |                                    |                 | <b>\$60,960,322</b>       |                       |
| <b>Total Available BART TDA Article 4 Funds<sup>2</sup></b>     |                                    |                 | <b>\$406,081</b>          |                       |
| TDA Article 4                                                   | BART-Alameda                       | LAVTA           | (115,033)                 | BART Feeder Bus       |
| TDA Article 4                                                   | BART-Contra Costa                  | WCCTA           | (291,048)                 | BART Feeder Bus       |
| <b>Total Payment</b>                                            |                                    |                 | <b>(406,081)</b>          |                       |
| <b>Remaining BART TDA Article 4 Funds</b>                       |                                    |                 | <b>\$0</b>                |                       |
| <b>Total Available SamTrans STA Revenue-Based Funds</b>         |                                    |                 | <b>\$10,906,794</b>       |                       |
| STA Revenue-Based                                               | SamTrans                           | BART            | (801,024)                 | SFO Operating Expense |
| <b>Total Payment</b>                                            |                                    |                 | <b>(801,024)</b>          |                       |
| <b>Remaining SamTrans STA Revenue-Based Funds</b>               |                                    |                 | <b>\$10,105,770</b>       |                       |
| <b>Total Available Union City TDA Article 4 Funds</b>           |                                    |                 | <b>\$17,750,134</b>       |                       |
| TDA Article 4                                                   | Union City                         | AC Transit      | (116,699)                 | Union City service    |
| <b>Total Payment</b>                                            |                                    |                 | <b>(116,699)</b>          |                       |
| <b>Remaining Union City TDA Article 4 Funds</b>                 |                                    |                 | <b>\$17,633,435</b>       |                       |

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

2. Discussions are ongoing between BART, MTC, county transportation agencies, and the four East Bay bus operators shown here regarding possible changes to the operator agreements which govern these payments. Until such time as an agreement is reached, or when there is a clear path to agreement, operators will be able to claim no more than 50% of FY 2022-23 programmed amounts.

**FY 2022-23 FUND ESTIMATE  
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814**

**PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION**

| Apportionment Category           | MTC Resolution 3814        | %           | FY 2007-08             | FY2009-20              | MTC Res-3833   | MTC Res-3925        | FY2021-22           |
|----------------------------------|----------------------------|-------------|------------------------|------------------------|----------------|---------------------|---------------------|
|                                  | Spillover Payment Schedule |             | Spillover Distribution | Spillover Distribution | (RM 1 Funding) | (STP/CMAQ Funding)  | Remaining           |
| Lifeline                         | 10,000,000                 | 16%         | 1,028,413              | 0                      | 0              | 8,971,587           | 0                   |
| Small Operators / North Counties | 3,000,000                  | 5%          | 308,524                | 0                      | 0              | 2,691,476           | 0                   |
| BART to Warm Springs             | 3,000,000                  | 5%          | 308,524                | 0                      | 0              | 0                   | 0                   |
| eBART                            | 3,000,000                  | 5%          | 327,726                | 0                      | 2,672,274      | 0                   | 0                   |
| SamTrans <sup>1</sup>            | 43,000,000                 | 69%         | 4,422,174              | 0                      | 0              | 19,288,913          | 19,288,913          |
| <b>TOTAL</b>                     | <b>\$62,000,000</b>        | <b>100%</b> | <b>\$6,395,361</b>     | <b>\$0</b>             | <b>\$0</b>     | <b>\$30,951,976</b> | <b>\$19,288,914</b> |

1. On January 26, 2022, the MTC Commission adopted MTC Resolution No. 4509, which approved a funding commitment of \$19.6 million to SamTrans to satisfy the terms of the 2007 Caltrain Right of Way settlement agreement.

**FY 2022-23 FUND ESTIMATE  
CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)**

*Attachment A  
Res No. 4504  
Page 18 of 20  
2/23/2022*

| <b>FY2021-22 LCTOP Revenue Estimate<sup>1</sup></b> |                     | <b>FY2022-23 LCTOP Revenue Estimate<sup>2</sup></b> |                     |
|-----------------------------------------------------|---------------------|-----------------------------------------------------|---------------------|
| 1. Estimated Statewide Appropriation (Jan, 22)      | \$163,139,000       | 5. Estimated Statewide Appropriation (Jan, 22)      | \$182,225,000       |
| 2. MTC Region Revenue-Based Funding                 | \$43,708,675        | 6. Estimated MTC Region Revenue-Based Funding       | \$48,822,251        |
| 3. MTC Region Population-Based Funding              | \$15,920,477        | 7. Estimated MTC Region Population-Based Funding    | \$17,783,050        |
| <b>4. Total MTC Region Funds</b>                    | <b>\$59,629,152</b> | <b>8. Estimated Total MTC Region Funds</b>          | <b>\$66,605,301</b> |

1. The FY 2021-22 LCTOP revenue generation is based on the \$163 million revised estimate included in the FY 2022-23 Proposed State Budget.

2. The FY 2022-23 LCTOP revenue generation is based on the \$182 million estimated in the FY 2022-23 Proposed State Budget.

**FY 2022-23 FUND ESTIMATE  
STATE OF GOOD REPAIR (SGR) PROGRAM  
REVENUE-BASED FUNDS**

Attachment A  
Res No. 4450  
Page 19 of 20  
10/27/2021

|                                                     |              |                                                     |              |
|-----------------------------------------------------|--------------|-----------------------------------------------------|--------------|
| <b>FY2021-22 SGR Revenue-Based Revenue Estimate</b> |              | <b>FY2022-23 SGR Revenue-Based Revenue Estimate</b> |              |
| 1. State Estimate (Aug, 21)                         | \$31,477,988 | 4. Projected Carryover (Jan, 22)                    | \$1          |
| 2. Actual Revenue (Aug, 22)                         |              | 5. State Estimate (Jan, 22)                         | \$32,422,154 |
| 3. Revenue Adjustment (Lines 2-1)                   |              | 6. Total Funds Available (Lines 4+5)                | \$32,422,155 |

**STATE OF GOOD REPAIR PROGRAM REVENUE-BASED APPORTIONMENT BY OPERATOR**

| <i>Column</i>                      | <b>A</b>                    | <b>B</b>                       | <b>C</b>                            | <b>D=Sum(A:C)</b>          | <b>E</b>                            | <b>F=Sum(D:E)</b>               |
|------------------------------------|-----------------------------|--------------------------------|-------------------------------------|----------------------------|-------------------------------------|---------------------------------|
|                                    | <b>6/30/2021</b>            | <b>FY2020-22</b>               | <b>FY2021-22</b>                    | <b>6/30/2022</b>           | <b>FY2022-23</b>                    | <b>Total</b>                    |
| <b>Apportionment Jurisdictions</b> | <b>Balance (w/interest)</b> | <b>Outstanding Commitments</b> | <b>Revenue Estimate<sup>1</sup></b> | <b>Projected Carryover</b> | <b>Revenue Estimate<sup>2</sup></b> | <b>Available For Allocation</b> |
| ACCMA - Corresponding to ACE       | 0                           | (45,946)                       | 45,946                              | 0                          | 47,324                              | 47,324                          |
| Caltrain                           | 0                           | (1,492,021)                    | 1,492,021                           | 0                          | 1,536,774                           | 1,536,774                       |
| CCCTA                              | 0                           | (130,808)                      | 130,808                             | 0                          | 134,731                             | 134,731                         |
| City of Dixon                      | 0                           | (1,277)                        | 1,277                               | 0                          | 1,316                               | 1,316                           |
| ECCTA                              | 0                           | (63,244)                       | 63,244                              | 0                          | 65,141                              | 65,141                          |
| City of Fairfield                  | 0                           | (23,211)                       | 23,211                              | 0                          | 23,907                              | 23,907                          |
| GGBHTD                             | 0                           | (1,431,657)                    | 1,431,657                           | 0                          | 1,474,600                           | 1,474,600                       |
| LAVTA                              | 0                           | (62,746)                       | 62,746                              | 0                          | 64,628                              | 64,628                          |
| Marin Transit                      | 0                           | (244,675)                      | 244,675                             | 0                          | 252,014                             | 252,014                         |
| NVTA                               | 0                           | (17,763)                       | 17,763                              | 0                          | 18,296                              | 18,296                          |
| City of Petaluma                   | 0                           | (7,622)                        | 7,622                               | 0                          | 7,850                               | 7,850                           |
| City of Rio Vista                  | 0                           | (406)                          | 406                                 | 0                          | 418                                 | 418                             |
| SamTrans                           | 0                           | (1,496,400)                    | 1,496,400                           | 0                          | 1,541,284                           | 1,541,284                       |
| SMART                              | 0                           | (309,308)                      | 309,308                             | 0                          | 318,586                             | 318,586                         |
| City of Santa Rosa                 | 0                           | (25,611)                       | 25,611                              | 0                          | 26,379                              | 26,379                          |
| Solano County Transit              | 0                           | (54,554)                       | 54,554                              | 0                          | 56,190                              | 56,190                          |
| Sonoma County Transit              | 0                           | (35,676)                       | 35,676                              | 0                          | 36,746                              | 36,746                          |
| City of Union City                 | 0                           | (19,382)                       | 19,382                              | 0                          | 19,963                              | 19,963                          |
| Vacaville City Coach               | 0                           | (4,154)                        | 4,154                               | 0                          | 4,279                               | 4,279                           |
| VTA                                | 0                           | (4,535,433)                    | 4,535,433                           | 0                          | 4,671,471                           | 4,671,471                       |
| VTA - Corresponding to ACE         | 0                           | (26,508)                       | 26,508                              | 0                          | 27,303                              | 27,303                          |
| WCCTA                              | 0                           | (82,963)                       | 82,963                              | 0                          | 85,452                              | 85,452                          |
| WETA                               | 0                           | (406,849)                      | 406,849                             | 0                          | 419,052                             | 419,052                         |
| <b>SUBTOTAL</b>                    | <b>3</b>                    | <b>(10,518,214)</b>            | <b>10,518,214</b>                   | <b>0</b>                   | <b>10,833,704</b>                   | <b>10,833,704</b>               |
| AC Transit                         | 0                           | (4,001,204)                    | 4,001,204                           | 0                          | 4,121,218                           | 4,121,218                       |
| BART                               | 0                           | (6,269,892)                    | 6,269,892                           | 0                          | 6,457,954                           | 6,457,954                       |
| SFMTA                              | 0                           | (10,688,678)                   | 10,688,678                          | 1                          | 11,009,279                          | 11,009,280                      |
| <b>SUBTOTAL</b>                    | <b>1</b>                    | <b>(20,959,774)</b>            | <b>20,959,774</b>                   | <b>1</b>                   | <b>21,588,451</b>                   | <b>21,588,452</b>               |
| <b>GRAND TOTAL</b>                 | <b>\$4</b>                  | <b>(\$31,477,988)</b>          | <b>\$31,477,988</b>                 | <b>\$1</b>                 | <b>\$32,422,155</b>                 | <b>\$32,422,156</b>             |

1. FY2021-22 State of Good Repair Program revenue generation is based on August 2021 estimates from the State Controller's Office (SCO).

2. FY2022-23 State of Good Repair Program revenue generation is based on January 2022 estimates from the SCO.

**FY 2022-23 FUND ESTIMATE  
STATE OF GOOD REPAIR (SGR) PROGRAM  
POPULATION-BASED FUNDS**

Attachment A  
Res No. 4504  
Page 20 of 20  
2/23/2022

| FY2021-22 SGR Population-Based Revenue Estimate |              | FY2022-23 SGR Population-Based Revenue Estimate |                     |
|-------------------------------------------------|--------------|-------------------------------------------------|---------------------|
| 1. State Estimate (Jan, 22)                     | \$11,465,566 | 4. Projected Carryover (Jan, 22)                | \$56,727            |
| 2. Actual Revenue (Aug, 22)                     |              | 5. State Estimate (Jan, 22)                     | \$11,809,467        |
| 3. Revenue Adjustment (Lines 2-1)               |              | 6. Total Funds Available (Lines 4+5)            | <b>\$11,866,194</b> |

**SGR PROGRAM POPULATION-BASED APPORTIONMENT**

| <i>Column</i>                      | <i>A</i>             | <i>B</i>                | <i>C</i>                      | <i>D=Sum(A:C)</i>   | <i>E</i>                      | <i>F=Sum(D:E)</i>        |
|------------------------------------|----------------------|-------------------------|-------------------------------|---------------------|-------------------------------|--------------------------|
|                                    | 6/30/2021            | FY2020-22               | FY2021-22                     | 6/30/2022           | FY2022-23                     | Total                    |
| Apportionment                      | Balance (w/interest) | Outstanding Commitments | Revenue Estimate <sup>1</sup> | Projected Carryover | Revenue Estimate <sup>2</sup> | Available For Allocation |
| Clipper®/Clipper® 2.0 <sup>3</sup> | 18,692,026           | (30,100,865)            | 11,465,566                    | 56,727              | 11,809,467                    | 11,866,194               |
| <b>GRAND TOTAL</b>                 | <b>\$18,692,026</b>  | <b>(\$30,100,865)</b>   | <b>\$11,465,566</b>           | <b>\$56,727</b>     | <b>\$11,809,467</b>           | <b>\$11,866,194</b>      |

1. FY2021-22 State of Good Repair Program revenue generation is based on August 2021 estimates from the State Controller's Office (SCO).

2. FY2022-23 State of Good Repair Program revenue generation is based on January 2022 estimates from the State Controller's Office (SCO).

3. State of Good Repair Program funds are shown here according to the policy in MTC Resolution 4321.

Date: February 28, 2018  
W.I.: 1511  
Referred By: PAC  
Revised: 02/27/19-C  
02/23/22-C

ABSTRACT

Resolution No. 4321, Revised

This resolution establishes a policy for the programming and allocation of State Transit Assistance (STA) funds and State of Good Repair Program funds, made available under the provisions of Public Utilities Code Sections 99312.1, 99313, and 99314.

This resolution supersedes Resolution No. 3837.

This resolution was revised on February 27, 2019 to update the STA Population-Based County Block Grant performance measure requirements for small and medium sized transit operators as well as to make adjustments to the State of Good Repair (SGR) Program Revenue-Based program policies to reflect updated Caltrans SGR Program guidelines.

This resolution was revised on February 23, 2022 to suspend the County Block Grant program for FY 2022-23 to implement the American Rescue Plan funding exchange.

Further discussion of this action is contained in the Executive Director's Memorandum to the Programming and Allocations Committee dated January 3, 2018 and the MTC Programming and Allocations Committee Summary Sheets dated February 14, 2018, February 13, 2019 and February 9, 2022.

Date: February 28, 2018  
W.I.: 1511  
Referred By: PAC

Re: Adoption of MTC's State Transit Assistance (STA) and State of Good Repair Program Programming and Allocation Policy.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 4321

WHEREAS, State Transit Assistance (STA) funds are to be used to enhance public transportation service, including community transit service, and to meet high priority regional transportation needs; and

WHEREAS, Senate Bill (SB) 1 (Chapter 5, Statutes of 2017), known as the Road Repair and Accountability Act of 2017, establishes the State of Good Repair Program (SGR Program); and

WHEREAS, both STA and SGR Program funds are distributed by the State Controller's Office pursuant to Public Utilities Code § 99313 and 99314, a Population-Based and Revenue-Based program, respectively; and

WHEREAS, the Metropolitan Transportation Commission (MTC), as the Regional Transportation Planning Agency for the San Francisco Bay Area, is responsible for the allocation of STA and SGR Program funds available to eligible claimants in this region; and

WHEREAS, MTC adopted an STA Allocation Policy in Resolution No. 3837 in 2008; and

WHEREAS, SB 1 significantly increased the amount of funding to the STA program and established the SGR Program; and

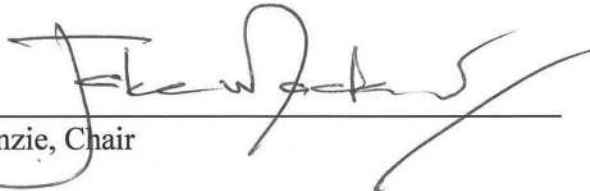
WHEREAS, in order to align the allocation of STA and SGR Program funding with the Bay Area's most pressing transportation needs; now, therefore, be it

RESOLVED, that MTC adopts its State Transit Assistance and State of Good Repair Program Programming and Allocation Policy described in Attachment A, attached hereto and incorporated by reference, for guidance to eligible claimants in the preparation of their

applications for STA and SGR Program funds and to staff for reviewing such applications; and  
be it further

RESOLVED, that the prior policy governing allocation of State Transit Assistance Funds  
contained in Resolution No. 3837 is superseded by this resolution.

METROPOLITAN TRANSPORTATION COMMISSION



Jake Mackenzie, Chair

The above resolution was entered  
into by the Metropolitan Transportation  
Commission at a regular meeting of  
the Commission held in San Francisco,  
California, on February 28, 2018.



Date: February 28, 2018  
W.I.: 1511  
Referred By: PAC  
Revised: 02/27/19-C

Attachment A  
Resolution No. 4321  
Page 1 of 5

**STATE TRANSIT ASSISTANCE AND STATE OF GOOD REPAIR PROGRAM  
PROGRAMMING AND ALLOCATION POLICY  
Exhibit 1**

This policy affects all allocations by the Metropolitan Transportation Commission (MTC) of STA and SGR Program funds, made available under the provisions of Public Utilities Code Sections 99312.1, 99313 and 99314 and relevant subsections.

**I. STA Population-Based Funds (PUC Code 99313) Including Interest Earnings**

***1. STA Population-Based County Block Grant***

Commencing with Fiscal Year 2018-19 70% of the STA Population-Based funds and interest is reserved for programming to STA-eligible operators by Congestion Management Agencies (CMAs) in each of the nine Bay Area counties as part of a STA Population-Based County Block Grant (County Block Grant). The County Block Grant will allow each county to determine how best to invest in transit operating needs, including providing lifeline transit services. The funds reserved for the County Block Grant shall be distributed amongst the nine counties according to the percentages shown in Table 1. Each county's share in Table 1 was calculated based on the county's share of STA funds from the Resolution 3837 formula, totaled across all categories (Northern Counties/Small Operators Program, Regional Paratransit Program, and the Lifeline Transportation Program).

**Table 1. Distribution of STA Population-Based County Block Grant, by County**

|               |        |
|---------------|--------|
| Alameda       | 17.68% |
| Contra Costa  | 22.18% |
| Marin         | 5.71%  |
| Napa          | 3.49%  |
| San Francisco | 8.46%  |
| San Mateo     | 5.06%  |
| Santa Clara   | 14.09% |
| Solano        | 10.50% |
| Sonoma        | 12.83% |

Within Alameda and Contra Costa Counties a minimum amount of County Block Grant funds shall be programmed amongst the transit operators detailed in Table 2.

**Table 2. Alameda and Contra Costa County Small Operator Minimum**

| County              | Minimum % of Block Grant to be Allocated Annually Amongst Eligible Small Operators | Eligible Small Operators     |
|---------------------|------------------------------------------------------------------------------------|------------------------------|
| Alameda County      | 24%                                                                                | LAVTA and Union City Transit |
| Contra Costa County | 60%                                                                                | CCCTA, ECCTA, WestCAT        |

The following program conditions apply to the County Block Grant:

- **Reporting:** Each CMA must submit to MTC by May 1st of each year, a report including the following information about the previous, completed, fiscal year: 1) the county’s programming distribution of STA Population-Based funds amongst STA-eligible operators and; 2) the estimated amount of STA Population-Based funding that will be spent within or benefiting Communities of Concern.
- **Fund Swaps:** Each CMA is required to seek approval from MTC before requesting that a STA-eligible operator recipient of STA Population-Based funds perform a fund swap involving STA Population-Based funds. The CMA must notify all STA-eligible operators within their county of the request to swap funds before seeking approval from MTC.
- **Coordinated Claim/Submission Deadline:** Each CMA must play a coordinating role in the development of STA Population-Based claims from STA-eligible operators within their county. Each CMA must also submit to MTC by May 1st of each year a governing board-approved resolution listing the distribution policy for STA Population-Based funds amongst the STA-eligible operators for the subsequent fiscal year. Operators will continue to submit their own claims, if desired.
- **Performance Measures:** All small and medium sized operators shall be required to maintain operating costs (cost per service hour, cost per passenger, or cost per passenger mile) at least twenty (20) percent below the annual average operating cost of the seven operators included in the Transit Sustainability Project (TSP). Operating costs for small and medium sized operators shall be calculated for each mode (bus, rail, ferry, etc.) and benchmarked against the comparable modal average for the operators included in the TSP. In addition, annual year-over-year increases in operating costs for each small and medium sized operator shall be no greater than five (5) percent per year. If an operator is unable to meet the above requirements they may submit an appeal/justification to MTC explaining the circumstances that prevented achievement of the targets. Beginning in Fiscal Year 2023-24 MTC may link existing and new operating and capital funds administered by MTC to progress towards achieving the performance target.
- **Operator Consolidation Planning Efforts:** In the Northern Counties (Marin, Napa, Solano, and Sonoma) as an alternative to meeting TSP performance requirements, counties and transit operators may develop a plan to consolidate into a single county operator.
- **Mobility Management:** In the five other counties (Alameda, Contra Costa, San Francisco, San Mateo, and Santa Clara) each county must establish or enhance mobility management programs to help provide equitable and effective access to transportation.

[PROPOSED REVISION 2/2022]: The STA County Block Grant program is suspended for fiscal year 2022-23. Funds that would normally flow into the STA County Block Grant program will instead be programmed directly by the Commission to transit operators to implement the American Rescue Plan funding exchange as a part of MTC Resolution 4481, Revised.

## 2. *MTC Regional Program*

Commencing with Fiscal Year 2018-19 30% of the STA Population-Based funds and interest is reserved for projects and programs that improve regional coordination, including but not limited to:

- Clipper®
- 511
- Transit connectivity

In addition, a portion of the Regional Program funding (approximately \$8 million in the first year based on the estimated Senate Bill 1 increment for Fiscal Year 2018-19) will be used to pay for the administrative costs and to help offset transit fare revenue loss for a regional means-based fare program.

MTC will develop an annual MTC Regional Coordination program. All final programming will be reviewed and approved by the MTC Programming and Allocations Committee (PAC).

## 3. *Transit Emergency Service Contingency Fund*

The Transit Emergency Service Contingency Fund shall be used to provide assistance for an emergency response to a qualifying incident or event, under specific circumstances as described in MTC Resolution No. 4171.

The fund shall not exceed a total balance of \$1 million of STA Population-Based funds. In any individual fiscal year no more than \$333,333 of STA Populated-Based funds and interest shall be apportioned to the fund. Interest accrued to the fund shall not count towards the \$1 million total balance limit and interest can continue to accrue once the fund has reached \$1 million. Beginning in Fiscal Year (FY) 2015-16, \$333,333 in STA Population-Based funds, taken “off the top” from estimated STA Population-Based revenues for the fiscal year, will be apportioned to the fund. Apportionments will continue in subsequent fiscal years until the fund reaches a total of \$1 million. In future years should the balance of the fund fall below \$1 million, funds shall be apportioned in the next fiscal year to restore the full balance of the fund, subject to the annual apportionment limit.

## II. **STA Revenue-Based Funds (PUC Code 99314)**

Funds apportioned to the region based on revenues generated by the transit operators will be allocated to each STA-eligible operator for the support of fixed route and paratransit operations, for inter-operator coordination, including the cost of interoperator transfers,

joint fare subsidies, integrated fares etc., and for capital projects consistent with the adopted long-range plan.

**III. SGR Program Population-Based Funds (PUC Code 99312.1, distributed via PUC 99313)**

MTC will develop an annual investment program for SGR Program Population-Based Funds through the annual Fund Estimate. All final programming will be reviewed and approved by the MTC Programming and Allocations Committee (PAC) and will be consistent with the below priorities. All proposed programming actions will be submitted to Caltrans for approval, consistent with SGR Program Guidelines.

**1. Priority 1: Clipper® 2.0**

Invest in the development and deployment of the Bay Area's next generation transit fare payment system, Clipper® 2.0.

**2. Priority 2: Green Transit Capital Priorities**

If not needed for Clipper® 2.0, program SGR Program Population-Based funds to the acquisition of zero emission buses (ZEB) by the Bay Area's transit operators. SGR Program funds are intended to pay for the cost increment of ZEBs over diesel or hybrid vehicles or for charging or hydrogen infrastructure to support ZEBs. MTC staff will work to secure a 1:1 match commitment from the Bay Area Air Quality Management District to expand and accelerate the deployment of ZEBs in the region.

**IV. SGR Program Revenue-Based Funds (PUC Code 99312.1, distributed via PUC 99314)**

Funds apportioned to the region based on revenues generated by the transit operators will be allocated to each respective STA-eligible operator for state of good repair projects, preventative maintenance, and other projects approved by the California Department of Transportation (Caltrans) as eligible for SGR Program expenditure. Starting with Fiscal Year 2019-20 operators must submit their proposed SGR Program Revenue-Based projects to MTC, consistent with Caltrans' proposed amendments to the SGR Program Guidelines for Fiscal Year 2019-20. Operators should submit their SGR Program Revenue-Based project list to MTC by May 15<sup>th</sup> of each year. MTC staff will compile SGR Program Revenue-Based projects from all operators across the region and submit to the Commission for approval before submitting the approved regional SGR Program Revenue-Based project list to Caltrans by September 1<sup>st</sup> of each year.

Transit operator's SGR Program Revenue-Based projects should be consistent with their agency's Transit Assessment Management (TAM) plan.

**State Transit Assistance (STA)  
Rules and Regulations  
for the MTC Region  
Exhibit 2**

These Rules and Regulations cover the eligibility requirements and the rules for a full or partial allocation of these funds.

**Eligibility Requirements**

To be eligible for any STA funds in the MTC region, an operator must comply with all SB 602 fare and schedule coordination requirements for the fiscal year. The evaluation of operator's compliance with the SB 602 program is made annually.

An operator's requested STA allocation may also be partially or fully reduced if the operator did not make satisfactory progress in meeting its Productivity Improvement Program (PIP) and/or the Regional Coordination projects for which each operator is a participant.

***SB 602 Requirements/California Government Code Section 66516***

Fare coordination revenue-sharing agreements, must be fully executed by all participating operators and provisions of the agreement(s) must be in compliance with MTC rules and regulations.

MTC Res. 3866 (Transit Coordination Implementation Plan) documents coordination requirements for Bay Area transit operators to improve the transit customer experience when transferring between transit operators and in support of regional transit projects such as Clipper. If a transit operator fails to comply with the requirements of Res. 3866 or its successor, MTC may withhold, restrict or reprogram funds or allocations.

***PIP Projects***

PIP projects are a requirement of STA funding. Failure by operators to make a reasonable effort to implement their PIP projects may affect the allocation of these funds. Projects will be evaluated based on actual progress as compared to scheduled. STA funds may be reduced proportionate to the failure of the operator to implement the PIP project/s. Progress in meeting the milestones identified for a project may be used as the basis for assessing reasonable effort.

The amount withheld will be reviewed with the affected operator. Partial funds withheld may be held by MTC up to two years to allow an operator to comply with its PIP as required by statute.

After two years, funds withheld under this section may also be re-allocated to any eligible operator for purposes of improving coordination, according to the unfunded coordination projects in the Regional Coordination Plan (MTC Res. 3866 or its successor). MTC may also allocate these funds to any operator whose increase in total operating cost per revenue vehicle hour is less than the increase in the CPI.

**RESOLUTION NO. 17-2022**

**RESOLUTION OF THE BOARD OF DIRECTORS OF THE  
LIVERMORE AMADOR VALLEY TRANSIT AUTHORITY  
AUTHORIZING THE FILING OF A CLAIM WITH THE  
METROPOLITAN TRANSPORTATION COMMISSION FOR ALLOCATION  
OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4.0, STATE  
TRANSIT ASSISTANCE AND REGIONAL MEASURE 2 FUNDS FOR FISCAL  
YEAR 2022-2023**

**WHEREAS**, the Transportation Development Act (TDA), (Pub. Utilities Code 992200 et. seq. provides for the disbursement of funds from the Local Transportation Fund of the County of Alameda for use by eligible claimants for the purpose of providing local transit service in the cities of Dublin, Livermore and Pleasanton; and

**WHEREAS**, pursuant to the provisions of the TDA, and pursuant to the applicable rules and regulations thereunder (21 Cal Adm. Code 660 et. seq.), a prospective claimant wishing to receive an allocation from the Local Transportation Funds shall file its claim with the Metropolitan Transportation Commission; and

**WHEREAS**, the State Transit Assistance (STA) fund is created pursuant to Public Utilities Code 99310 (et. seq.); and

**WHEREAS**, the STA fund makes funds available pursuant to Public Utilities Code 99313.6 for allocation to eligible applicants to support approved transit projects; and

**WHEREAS**, the Livermore Amador Valley Transit Authority is an eligible claimant for TDA and STA funds pursuant to PUC Section 99260 and Article 4 funds pursuant to PUC Section 99260A, as attested by the Livermore Amador Valley Authority's opinion of counsel dated March 29, 2022; and

**WHEREAS**, TDA funds from the Local Transport Fund of Alameda County and STA funds will be required by claimant in Fiscal Year 2022-2023 for transit service;

**WHEREAS**, LAVTA is an eligible sponsor of transportation project(s) in Regional Measure 2, Regional Traffic Relief Plan funds; and

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors as follows:

1. That the Executive Director or his designee is authorized to execute and file an appropriate TDA/STA claim together with all necessary supporting documents, with the Metropolitan Transportation Commission for allocation of TDA/STA funds in Fiscal Year 2022-2023; and be it further resolved
2. That a copy of this Resolution be transmitted to the Metropolitan Transportation Commission in conjunction with the filing of the claim; and

the Metropolitan Transportation Commission be requested to grant the allocation of funds as specified herein; and be it further resolved

3. That LAVTA, and its agents shall comply with the provisions of the Metropolitan Transportation Commission's, "Regional Measure 2 Regional Traffic Relief Plan Policies and Procedures," (MTC Resolution No. 3636, Amended April 28, 2010); and be it further resolved
4. That LAVTA certifies that the project is consistent with the Regional Transportation Plan (RTP) and is in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.); and be it further resolved
5. That LAVTA approves the updated Operating Assistance Proposal, attached to this resolution; and be it further resolved
6. That LAVTA approves the certification of assurances, attached to this resolution; and be it further resolved
7. That there is no pending or threatened litigation which might in any way adversely affect the proposed project, or the ability of LAVTA to deliver such project; and be it further resolved
8. That LAVTA indemnifies and holds harmless MTC, its Commissioners, representatives, agents, and employees from and against all claims, injury, suits, demands, liability, losses, damages, and expenses, whether direct or indirect (including any and all costs and expenses in connection therewith), incurred by reason of any act or failure to act of LAVTA, its officers, employees or agents, or subcontractors or any of them in connection with its performance of services under this allocation of RM2 funds; and be it further resolved
9. That LAVTA authorizes its Executive Director, or his designee to execute and submit an allocation request for operating or planning costs for Fiscal Year 2021-2022 with MTC for Regional Measure 2 funds, for the project, purposes and amounts included in the project application attached to this resolution; and be it further resolved
10. That the Executive Director, or his designee is hereby delegated the authority to make non-substantive changes or minor amendments to the OAP as he/she deems appropriate.

**PASSED AND ADOPTED** this 2nd day of May 2022.

---

Karla Brown, Chair

**ATTEST:**

---

Tamara Edwards, Interim Executive Director

**RESOLUTION NO. 18-2022**

**RESOLUTION OF THE BOARD OF DIRECTORS OF THE LIVERMORE  
AMADOR VALLEY TRANSIT AUTHORITY AUTHORIZING THE FILING OF  
A CLAIM WITH THE METROPOLITAN TRANSPORTATION COMMISSION  
FOR ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE  
4.5 FUNDS FOR THE FISCAL YEAR 2022-2023**

**WHEREAS**, the Transportation Development Act (TDA), Pub Util. Code 99200 et. seq. provides for the disbursement of funds from the Local Transportation Funds of the County of Alameda for use by eligible claimants for the purpose of providing local transit service in the cities of Dublin and Livermore; and

**WHEREAS**, pursuant to the provisions of the TDA, and pursuant to the applicable rules and regulations thereunder (21 Cal Adm. Code 660 et. seq.), a prospective claimant wishing to receive an allocation from the Local Transportation Funds shall file its claim with the Metropolitan Transportation Commission; and

**WHEREAS**, TDA funds from the Local Transportation Fund of Alameda County will be required by claimant in Fiscal Year 2022-2023 for paratransit services; and

**WHEREAS**, the Livermore Amador Valley Transit Authority is an eligible claimant for TDA funds pursuant to PUC Section 99275 funds as attested by the Livermore Amador Valley Transit Authority's opinion of counsel dated March 29, 2022; and

**RESOLVED**, that the Executive Director or his/her designee is authorized to execute and file an appropriate TDA/STA claim together with all necessary supporting documents with the Metropolitan Transportation Commission for an allocation of TDA funds in Fiscal Year 2021-2022; and be it further

**NOW, THEREFORE, LET IT BE RESOLVED**, that a copy of this Resolution be transmitted to the Metropolitan Transportation Commission in conjunction with the filing of the claim; and the Metropolitan Transportation Commission be requested to grant the allocations of funds as specified herein.

**PASSED AND ADOPTED** this 2nd day of May 2022.

\_\_\_\_\_  
Karla Brown, Chair

**ATTEST:**

\_\_\_\_\_  
Tamara Edwards, Interim Executive Director